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PATENT APPLICATION
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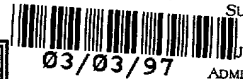
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Washington, D.C. 20231

Sir:

Transmitted herewith for filing is a Continuation-in-Part application of prior
Serial No. 08/766,607 filed December 13, 1996; which is a Continuation-in-Part
of U.S. Serial No. 08/741,671 filed on October 31, 1996.

The teachings of the above applications are incorporated herein by reference in their
entirety.

Inventor(s): Jeffrey Jacobsen, John C.C. Fan, Stephen Pombo, Matthew Zavracky,
Rodney Bumgardner and Alan Richard

Title: MICRODISPLAY FOR PORTABLE COMMUNICATION SYSTEMS

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- ☒ X sheets of ~~formal~~/informal drawings. (Figs. 1, 2A-2D, 3A-3B, 4A-4K, 5A-5J, 6, 7A-7C, 8, 9A-9J, 10A-10B, 11, 12A-12B, 13A-13F, 14A-14C, 15A-15C, 16A-16D, 17A-17C, 18A-18E.)
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Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS

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Dated: March 3, 1997

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Dated: March 3, 1997



03/03/97

PATENT APPLICATION

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MICRODISPLAY FOR PORTABLE COMMUNICATION SYSTEMSRelated Applications

This is a continuation-in-part application of U.S. Serial No. 08/766,607 filed on December 13, 1996 which is a continuation-in-part application of U.S. Serial No. 08/741,671 filed on October 31, 1996, the entire contents of the above application being incorporated herein by reference.

Background of the Invention

Flat-panel displays are being developed which utilize liquid crystals or electroluminescent materials to produce high quality images. These displays are expected to supplant cathode ray tube (CRT) technology and provide a more highly defined television picture or computer monitor image. The most promising route to large scale high quality liquid crystal displays (LCDs), for example, is the active-matrix approach in which thin-film transistors (TFTs) are co-located with LCD pixels. The primary advantage of the active matrix approach using TFTs is the elimination of cross-talk between pixels, and the excellent grey scale that can be attained with TFT-compatible LCDs.

Flat panel displays employing LCDs generally include five different layers: a white light source, a first polarizing filter that is mounted on one side of a circuit panel on which the TFTs are arrayed to form pixels, a filter plate containing at least three primary colors arranged into pixels, and finally a second polarizing filter. A volume between the circuit panel and the filter plate is filled with a liquid crystal material. This

material will allow transmission of light in the material when an electric field is applied across the material between the circuit panel and a ground affixed to the filter plate. Thus, when a particular pixel of the display
5 is turned on by the TFTs, the liquid crystal material rotates polarized light being transmitted through the material so that the light will pass through the second polarizing filter.

The primary approach to TFT formation over the large
10 areas required for flat panel displays has involved the use of amorphous silicon, which has previously been developed for large-area photovoltaic devices. Although the TFT approach has proven to be feasible, the use of amorphous silicon compromises certain aspects of the panel
15 performance. For example, amorphous silicon TFTs lack the frequency response needed for high performance displays due to the low electron mobility inherent in amorphous material. Thus the use of amorphous silicon limits display speed, and is also unsuitable for the fast logic needed to
20 drive the display.

As the display resolution increases, the required clock rate to drive the pixels also increases. In addition, the advent of colored displays places additional speed requirements on the display panel. To produce a
25 sequential color display, the display panel is triple scanned, once for each primary color. For example, to produce color frames at 20 Hz, the active matrix must be driven at a frequency of 60 Hz. In brighter ambient light conditions, the active matrix may need to be driven at 180
30 Hz to produce a 60 Hz color image. At over 60 Hz, visible flicker is reduced.

Owing to the limitations of amorphous silicon, other alternative materials include polycrystalline silicon, or laser recrystallized silicon. These materials are limited
35 as they use silicon that is already on glass, which

generally restricts further circuit processing to low temperatures.

Integrated circuits for displays, such as, the above referred color sequential display, are becoming more and more complex. For example, the color sequential display is designed for displaying High Definition Television (HDTV) formats requiring a 1280-by-1024 pixel array with a pixel pitch, or the distance between lines connecting adjacent columns or rows of pixel electrodes, being in the range of 15-55 microns, and fabricated on a single five-inch wafer.

Summary of the Invention

In accordance with the invention, the cost and complexity of high resolution displays is significantly reduced by fabricating multiple integrated displays of reduced size on a single wafer and then dicing the wafer to produce a plurality of display devices.

The displays are then assembled with appropriate magnifying optics to form a portable display system of low cost and reduced size. Included in the optics is a magnification system which compensates for the small image size by magnifying and projecting the image at an appropriate distance for viewing.

In preferred embodiments, the microdisplay, because of its small size and weight, can be used as a hand-held communication system such as a pager, a wireless mobile telephone, or alternatively, as a head-mounted display. The display can provide a visual display suitable for data, graphics or video and accommodate standard television or high definition television signals. The system can optionally include circuitry for cellular reception and transmission of facsimile communications, can be voice activated, can include a mouse operated function, provide internet access, and can have a keyboard or touch pad for numeric or alphabetic entry. The telephone or hand-held

unit can be equipped with a camera or solid state imaging sensor so that images can be generated and transmitted to a remote location and/or viewed on the display. Also the telephone user can call to access a particular computer at a remote location, present the computer screen on the micro display, access specific files in the computer memory and download data from the file into a memory within the telephone or a modular memory and display unit connected to the telephone. The telephone can be connected to a local computer or display and the data from the file can be loaded into the local memory.

In a preferred embodiment of the invention, a light emitting diode (LED) device is used to illuminate the display. For transmission displays the LED device operates as a backlight and can include a diffuser. An LED device can also be used as a light source for a reflective display in another preferred embodiment of the invention. The displays are preferably liquid crystal displays using a nematic liquid crystal material. Consequently, controlling the time domain is not necessary to obtain grey scale.

For the purposes of this application, a microdisplay is defined as a display having at least 75,000 pixel electrodes and an active area of less than 158 mm^2 , where the active area of the display is the area of the active matrix circuit that generates an image, including all of the pixel electrodes but not including the driver electronics and the border area for bonding and sealing of the liquid crystal display. For example, the array can be at least 320×240 , 640×480 or higher. A preferred embodiment of the microdisplay has an active area of 100 mm^2 or less, and is preferably in the range between 5 mm^2 and 80 mm^2 . The pixel pitch for these displays is in the range of 5 - 30 microns and preferably in the range between 5 and 18 microns. By utilizing pixel pitches of less than 18 microns smaller high resolution displays are now possible.

For displays of this size and resolution to be read by a user at distances of less than 10 inches (25.4 cm) there are specific lighting and magnification requirements. For a 0.25 inch (6.35 mm) diagonal display, for example, the
5 LED device preferably includes a plurality of LEDS coupled to a diffuser. The lens used to magnify the display image has a field of view in the range of 10 - 60 degrees, and preferably at least about 16 degrees - 22 degrees, an ERD in the range of about 25 mm - 100 mm and an object distance
10 of between about 1.5 and 5 feet (152.4 cm). A color field sequentially operated LED backlight system can use a plurality of LEDS with a two or four sided reflector assembly to concentrate the light through the liquid crystal display. A preferred embodiment can use at least
15 two LEDs, or as many as six or more of each color, to provide the desired brightness level. Alternatively the LEDs can be arranged around the periphery of a transmissive display and directed down into a conical reflector that directs the backlighting through the display in
20 concentrated form.

The display can be operated using a color sequential system as described in U.S. Patent Application Serial No. 08/216,817, "Color Sequential Display Panels" filed on March 23, 1994, the entire contents of which is
25 incorporated herein by reference, discloses an active matrix display in which the control electronics is integrated with the active matrix circuitry using single crystal silicon technology. The control electronics provides compressed video information to produce a color
30 image for data, a still image or a video image such as a television image on the display. The use of LED's to provide color sequential operation has a number of advantages. The system provides a lightweight, low-power backlight that generates red, green and blue color
35 components in sequence. The same control circuit operates

the backlight and the display to pulse the appropriate backlight elements for each corresponding display image.

The backlight can also be pulsed for monochrome display applications. The same circuit can be used for
5 both color sequential and monochrome systems. For monochrome operation the backlight need only be flashed momentarily to provide the desired brightness level. By flashing the lamp briefly while a given frame is being written on the display, the display power consumption can
10 be substantially reduced, the voltage holding requirements of the display are reduced, and heat loading is reduced. The vertical synchronization signal can be used to trigger the backlight pulse which need only extend for less than a third of the time needed to write a particular frame onto
15 the display. Two flashes in a frame can also be used to reduce flicker.

The microdisplays described herein can be used in head mounted displays, including color sequential systems as described in greater detail in U.S. Application Serial No.
20 08/410,124 filed on March 23, 1995, the entire contents of which is incorporated herein by reference. Further details regarding the drive electronics suitable for a microdisplay can be found in U.S. Serial No. 08/106,416 filed on August 13, 1993, the entire contents of which is incorporated
25 herein by reference. A preferred embodiment of the display control circuit utilizes an "under scanning" feature in which selected pixels are rapidly turned on and off to enhance edge definition and emulate a higher resolution display. The display control circuit can also utilize a
30 panning capability so that a small portion of a displayed image can be selected, by mouse operation for example, and presented using the entire microdisplay image area thereby allowing the user to perceive smaller displayed features. This can also be used to view selected portions of a high

resolution image, such as a portion of a 640 x 480 image on a 320 x 240 microdisplay.

Brief Description of the Drawings

5 The above and other objects and features of the invention will be better understood and appreciated by those skilled in the art in view of the description of the preferred embodiments given below in conjunction with the accompanying drawings, in which:

10 Figure 1 is a perspective view of a single wafer having a plurality of display devices formed thereon in accordance with the invention.

Figure 2A is a schematic illustration of a die for an integrated active matrix panel display which includes optional control signal circuitry therein.

15 Figure 2B and 2C illustrate preferred embodiments of display control circuits in accordance with the invention.

Figure 2D illustrates a method for selectively displaying an image on a display in accordance with the invention.

20 Figures 3A and 3B are exploded views of a video display device and pager in accordance with a preferred embodiment of the invention.

Figures 4A-4K are exterior views of hand-held imaging devices in accordance with the invention.

25 Figure 5A is a side view of a lens suitable for magnifying a microdisplay in accordance with the invention.

Figure 5B is a side view of a multi element lens providing an increased field of view.

30 Figure 5C is a cross-sectional view of a display assembly with a fixed lens.

Figure 5D is a schematic view of an LED backlighting system for a liquid crystal display in accordance with the invention.

Figure 5E - 5J illustrate additional preferred embodiments of a backlighting system in accordance with the invention.

Figure 6 is an optical diagram of a lighting system
5 for a reflective liquid crystal display.

Figure 7A-7C illustrates preferred LED backlighting systems for a transmission type display.

Figure 8 is a perspective view of a preferred
embodiment mobile telephone having a display device in
10 accordance with the invention.

Figures 9A-9J are illustrations of further preferred embodiments of a telephone microdisplay system in accordance with the invention.

Figures 10A and 10B illustrate another preferred
15 embodiment of a telephone microdisplay system.

Figure 11 illustrates another preferred embodiment of a telephone microdisplay system in accordance with the invention.

Figures 12A and 12B illustrate rear views of another
20 preferred embodiment of a telephone microdisplay.

Figures 13A-13F illustrate another preferred embodiment of the invention including a display docking system for a cellular telephone.

Figures 14A-14C illustrates the use of a microdisplay
25 rear projection system for a telephone video conferencing station.

Figures 15A-C are side cross-sectional, front, and front cross-sectional views of a hand held rear projection display system in accordance with the invention.

30 Figures 16A-16B illustrate a body worn, hand operated display system in accordance with the invention.

Figures 16C-16D illustrate the use of a microdisplay as a viewfinder for a camcorder in another preferred embodiment of the invention.

Figures 17A-17C illustrate the use of a microdisplay in a card reader system in accordance with another preferred embodiment of the invention.

Figure 18A is a perspective view of a head-mounted display system of the invention.

Figure 18B is a partial schematic perspective view of the system of Figure 18A emphasizing additional features of the invention.

Figure 18C is a schematic perspective of the system of Figure 18A which emphasizes certain aspects of the invention.

Figure 18D is a schematic perspective view of the headband and pads of Figure 18C.

Figure 18E is a partial schematic side view of the system of Figure 18A.

Detailed Description of the Invention

High Resolution Active Matrix MicroDisplay

A preferred embodiment of the invention utilizes a process of making a plurality of flat panel displays in which a large number of active matrix arrays are fabricated on a single wafer as illustrated in connection with Figure 1. The number of displays fabricated on a single wafer depends upon the size of the wafer and the size of each display. A preferred embodiment of the invention, for example, uses a high resolution display having an imaging area of the display with a diagonal of 0.5 inches (12.7 mm) or less. For a four inch wafer, forty separate displays can be fabricated on a single four inch wafer. Where each display has a diagonal of about 0.25 inches (6.35 mm), 80 displays can be fabricated on a single wafer, over 120 displays can be fabricated on a five inch wafer, and 400 displays can be fabricated on an 8 inch wafer.

By fabricating a large number of small high resolution displays on a single wafer the manufacturing yield can be substantially increased and the cost per display can be substantially reduced.

5 To obtain monochrome resolutions of at least 75,000 pixels (e.g. a 320 x 240 array) suitable for displaying an NTSC television signal on a 0.25 inch diagonal display the pixel electrodes are preferably on the order of about 15 microns in width or less. To obtain a monochrome
10 resolution of at least 300,000 pixels (e.g. 640 x 480 array) on a 0.25 inch diagonal display the pixel electrodes preferably have a width of about 8-10 microns.

These small high resolution displays require magnification such that when held in a user's hand within
15 the range of 0.5 inches to 10 inches of the user's eye, a clear image is provided.

Referring now to Figure 2A, an integrated circuit active matrix display die is shown schematically which has been diced from a single wafer along with selected number
20 of replicated circuits. Incorporated into the circuit 100 are scanner circuits 42a, 42b, 42c, 42d, along with pixel driven circuits 44a, 44b, 44c, 44d, select scanner circuits 46a, 46b and a display matrix circuit 90.

Further details regarding the fabrication of each die
25 on a wafer can use the methods described in U.S. Patent No. 5,256,562, the contents of which incorporated herein its entirety by reference.

By fabricating a selected number of circuits 100 on a single wafer, the circuit yield from a single wafer is
30 greatly enhanced at the expense of reduced display area. However, this area disadvantage is overcome by magnifying and projecting the display image as will be described herein.

A video signal bus 35-1 through 35-16 carries analog
35 video signals from digital to analog amplifiers (not shown)

to column drivers 44a-d. Because signal interference and signal loss can occur as the analog video signal cross each signal line in the signal bus 35, the channels of video signals are arranged to reduce interference. As

5 illustrated, there are four column drivers 44a-44d, two column drivers 44a,44b at the top of the active matrix circuit 90 and two column drivers 44c,44d at the bottom of the active matrix circuit region 90. Each channel is allocated to one of the column drivers 44 such that each
10 column driver 44 receives video from four channels. The top column drivers 44a,44b receive video from the channels that drive the odd-numbered pixel columns and the bottom column drivers 44c,44d receive video from the channels that drive the even-numbered columns. As shown, no video signal
15 has to cross the path of more than one other video signal.

The illustrated arrangement of column drivers is particularly suited for edge-to-center and center-to-edge video writing, although the data can also be written from left-to-right or right-to-left. It should be understood
20 that more or less than four column drivers 44 can be employed in preferred embodiments of the invention. For applications having resolutions of 320 x 240 or 640 x 480 it is desirable to use single column and row drivers to drive the display. For high speed, high definition
25 displays more can be used to improve performance.

The data scanners 42 a-d are responsive to a pixel data signal 142 and a pixel clock signal 143 from a control signal generator (not shown). The data scanners 42a-d can use a shift register array to store data for each scan. An
30 odd shift register array can be used to store data to odd column pixels and an even shift register array can be used to store data to even column pixels. As illustrated, there are left and right odd data scanners 42a,42b and left and right even data scanners 42c,42d.

The column drivers 44 selected by the data scanner 42 transmit video data to a selected column of C pixels in the active matrix circuit 90. The select scanner 46 controlled by a control signal generator determines by control lines 5 which pixels accept this column data.

To reduce signal loss across the active matrix region 90, the select lines are driven from both sides by select scanners 46a and b. As viewed in Figure 2A, a left select scanner 46a and right select scanner 46b are connected to 10 the select data line 146 and the select clock line 147. A third enabling line 148 can also be used for specific applications. The left select scanner 46a provides a select line signal at the end of the select line nearest the lowest-valued pixel column (C_1) and right select 15 scanner 46b provides a select line signal at the end of the select line nearest the highest-valued pixel column (C_N). Thus, an identical select line signal is supplied at both ends of the select line.

Although static shift registers can be used, the shift 20 registers of the data scanner 42 and the select scanners 46 are preferably implemented as dynamic shift registers. The dynamic shift registers rely on capacitor storage without leakage. However, dynamic shift registers are susceptible to leakage, especially when they are exposed to light. 25 Hence, light shields are needed to protect the scanners 42a - 42d, 46 from exposure to light. Similarly, light shields are also used to protect the transmission gates 44 and pixel columns C_1 - C_N .

For further information regarding the input signals to 30 the circuit 100, reference is made to the above-cited U.S. patents and applications.

In a preferred embodiment of the invention, the panel drive circuitry of Figure 2A is fabricated as an integrated circuit along with the active matrix circuit 90. The 35 integrated circuitry is preferably fabricated in single

crystal silicon having a silicon-on-insulator (SOI) structure using the fabrication and transfer procedures described previously in the aforementioned U.S. Patent No. 5,256,562. By fabricating the row and column drive circuitry 42a-42d, 44a-44d, 46a, 46b as well as the scanners in single crystal material along with the active matrix circuit 90, the size of the display panel is not constrained by the connecting pins for the various discrete components. The integrated fabrication also increases the operating speed of the display relative to displays constructed from discrete components. Furthermore, the drive circuitry can be optimized to increase display performance. For example, it is easier to construct a small 1280H x 1024V display panel with dual select scanners through integrated fabrication than it is using discrete components.

The pixel electrodes in a preferred embodiment are between 60 and 250 microns square. Consequently, a 1280H x 1024V active matrix with the control system can be fabricated such that there are at least 40 such integrated circuits on a five inch wafer, for example.

A preferred embodiment of a display control circuit for a color sequential microdisplay is illustrated in connection with Figure 2B. The display control circuit 102 receives an analog composite signal 103 such as a television or VCR video signal at converter 105. Converter 105 can be a commercially available chip, such as the Sony CXA1585, which separates the signal 103 into red, green and blue components. The three analog color components are converted into digital signals by three respective analog to digital (A/D) converters 106. The three color digital signals are stored in red 107, green 108, and blue 109 memory circuits. Circuits 107, 108 and 109 can be DRAM frame buffers that are connected to the timing circuit 110. Timing circuit 110 can be connected to the converter 105 by

an interface bus and receives horizontal and vertical synchronization signals along lines 119. Circuit 110 controls the sequential flow of each color frame onto the display by sending video data from each memory 107, 108, 5 109 onto the display and coordinating actuation of the backlight 111 along lines 115 for each primary color. Lines 114 provide control of hue and contrast of the resulting image. Lines 116, 117 and 118 are used to control the row and column driver circuits within display 10 circuit 112. Lines 116 and 117 control horizontal shift and vertical shift of the data as it is read onto the display.

Lines 118 control vertical and horizontal pulse, vertical and horizontal clock, even and odd row enable 15 signals. Digital to analog converter 113, memories 107, 108, 109, converter 105 and control circuit 110 can be mounted on a common circuit board with display 112, or they can be split onto separate circuit boards or integrated circuits.

20 Another preferred embodiment of a display control circuit is illustrated in connection with Figure 2C. In this embodiment, a digital circuit 122 is used to control color sequential display operation. The processor 104 receives serial digital image data at 121 and sends display 25 data to memory 124. An optional additional flash memory or SRAM circuit 125 can also be included to store graphics data where that is in addition to text stored at 124. Timing control circuit 122 receives clock and digital control signals from processor 104 and transmits control 30 signals to the backlight 111 and display 112 along lines 115, 116, 117, and 118, respectively. Lines 128 direct ready, reset, write enable, output enable, color enable, address and data signals to memory to control deliver of image frames to the display 112. This circuit can be used 35 within a telephone housing or the docking element housing

described in greater detail below depending on the geometry and operational requirements of the specific embodiment. A switch can be provided to allow the user to reverse the image on the display left to right or right to left. This
5 can be useful for the telephone user who may select one hand to hold the telephone during use and simply press a button on the housing so that the image is inverted for presentation to the eye of the user when electing to hold the telephone speaker in the other hand adjacent to the
10 user's ear.

Power management circuit 123 receives control signals along line 126 from circuit 122 to lower power consumption of the circuit 120. Circuit 123 is used to control power during display operation. This includes, for example,
15 flash illumination by the backlight during color sequential or monochrome operation. By reducing the backlight illumination time the power and heat loading demands can be reduced. This capability arises from the use of a transferred thin film active matrix circuit described
20 previously which has an ability to store charge between vertical synchronization pulses. This storage capacity enables lower power consumption of the display and backlight at less than 0.2 Watts. Thus, after a frame of data is written on the display, power is lowered until the
25 next frame is written. This lengthens battery cycle time of portable communication devices as described herein.

Figure 2D illustrates a method of displaying information on the display in which large amounts of information are contained in each image. For such high
30 information images it may be desirable to enlarge a selectable portion of that image on the display. For example, the full image 130 has a region 131 in which a cursor 133 can be positioned. The user can position the cursor using a mouse or button control element anywhere
35 within region 131 and identify a subregion 132. The user

selects the image of subregion for display on the full display area.

A preferred embodiment in the form of a stand-alone video display device 20 featuring a liquid crystal display incorporating the actual matrix display circuit 100 will now be described in connection with the exploded views of Figures 3A and 3B.

In Figure 3A, a portable imaging device such as a pager is illustrated having a housing including a top 40 and a bottom 44 with a door 50 for access to a battery 48. The battery 48 provides power to the circuit board 42, the display 24 and the backlight 22. The pager can be operated by controls 38 or push buttons accessible through one of the housing surfaces that actuate display functions. An optical system 20 is positioned within the housing and includes a backlight 22, preferably an LED backlight, a transmission liquid crystal display 24, a focusing mechanism including a knob 28 that the user rotates to move the tunnel 30 relative to the optic slide 26, a lens assembly 32, and a cover glass 34.

Preferred embodiment of hand held display devices are illustrated in connection with Figures 4A - 4K. Figure 4A is a perspective view of a preferred embodiment of a pager system 150 having two display viewing areas 152 and 154 within a housing 155. Viewing area 152 has a lens through which the user views a microdisplay as described previously. A second flat panel display without magnification is viewed by the user at 154. The second display is a simple low resolution numeric and/or alphabetic display to read telephone numbers or scrolled numbers or messages. The microdisplay magnification can be adjusted at switch 158. The displays are operated by switches 156, 157. As seen in the rear view of Figure 4B, the rear surface 162 of housing 155 is thicker in that portion containing the microdisplay and the battery. The

sideview of the housing 155 shown in Figure 4C illustrates a clip 160 that is used to fasten the device to the clothing of the user. The clip 160 is attached to the bottom surface 164 of the housing 155.

5 Another preferred embodiment of a hand-held viewing device 170 is illustrated in the perspective view of Figure 4E. A first display is seen through lens 172 with magnification being adjusted by knob 174. A second display 180 as described above is positioned on the same side of
10 the device 170 as the lens 172 for ease of viewing. The displays are operated by switch 176 and buttons or control elements 178. A top view is illustrated in Figure 4F showing ridges 184 that accommodate the fingers of the user and the second display switch 182, which is shown more
15 clearly in the side view of Figure 4G.

Rear and bottom views of device 170 show rear 188 and bottom 186 sides in Figures 4H and 4I, respectively.

Another preferred embodiment is illustrated in the perspective views of Figures 4J and 4K. In the embodiment
20 a hand held unit 190 has a viewing window 191 a focus control 192, a rear panel 193 with an external port, a battery access panel 194, and a control panel 195 with control elements including a scan control element 196 to move text or the image on display up or down and left or
25 right.

A lens 65 suitable for magnifying the image of a microdisplay for viewing by a user is illustrated in the example of Figure 5A.

For a 0.25 inch diagonal microdisplay, the outer
30 diameter 64 of the lens can be about 30.4 mm, the thickness 70 of the lens at the optical axis 67 can be about 8 mm, the inner surface 60 that receives light from the display has a curved diameter of about 21.6 mm, and the viewing surface 61 has a diameter of 68 of about 22.4. The
35 peripheral edge 69 used to hold the lens in the assembly

can have a thickness 66 of about 2 mm and a radius 71 of about 4 mm. The lens 65 can be made of glass or a plastic material such as acrylic. This particular example of such a lens has a 16 degree field of view and an ERD of 25. The lens assembly can include an automatic focusing system, or
5 a lens system that collapses in size when not in use.

Another preferred embodiment for providing a color display can use a diffraction optical system such as those described in application U.S. Serial No. 08/565,058 filed
10 on November 30, 1995, the entire contents of which is incorporated herein by reference.

Another preferred embodiment of a 1.25 inch diameter lens system 52 with a larger field of view is illustrated in Figure 5B. Three lens elements 51, 53 and 55 enlarge
15 the image on the display 54.

The lens 65 of Figure 5A can be used in the alternative display assembly of 80 of Figure 5C. In this embodiment, the display 82 is positioned between the backlight housing 84, containing LED 86, and the lens
20 housing 88 that holds the lens 65 in a fixed position relative to the display 82.

A microdisplay system 360 utilizing a folded optical path is illustrated in connection with Figure 5D. In this embodiment, an LED array 362, or other light source,
25 illuminates the display within housing 364. The display 366 directs an image along a first optical path 372 that is reflected by mirror 368 along a second other path 374 through the lens 370 as described previously.

Another preferred embodiment of the backlight system
30 is illustrated in Figures 5E-5G. The backlight 375 includes a reflective bowl 376 with an inner concave surface 382 that reflects light emitted by the LEDs 380 onto the active matrix region of display 377. The LEDs 380 are mounted on a circuit board 378 that is electrically
35 connected to the timing circuit described previously. The

system 375 can also include a heat sink 379 for applications requiring thermal isolation of the display circuit from the backlight circuit. The element 379 can be a silicon carbide, silicon, or aluminum nickel plate or wafer. The element 379 can be a silicon carbide, silicon, or aluminum nickel plate or wafer. The element 379 can be insulated from the display 377 with layer 381 such as an adhesive. The circuit board 378, element 379 and optional layer 381 have openings that are aligned to provide an aperture 383.

A preferred embodiment of printed circuit board 378 on which the LEDs are mounted is shown in Figure 5F. In this embodiment 18 blue, green and red LEDs 386 are positioned around opening 384. Another preferred embodiment utilizing 8 LEDs 386 is illustrated in Figure 5G. Fewer LEDs allow the circuit to operate at lower power. Additionally, for color sequential operation, where frame rates are relatively high, the LEDs are driven at higher rates to increase brightness.

Another preferred embodiment of a backlight is illustrated by the system 390 of Figure 5H. In the embodiment the circuit board 395 on which the LEDs 396 are mounted is positioned underneath the reflective bowl 394 with the LEDs 396 mounted on a post 399 extending through opening 398. Light is diffusely reflected by bowl through diffuser 392 onto display 391.

Figure 5I illustrates a backlight housing 84 with an aperture on one side through which light exits the housing and is directed through the display. The housing has a base and sides 135 in the folded opened view of Figure 5J. The display is mounted onto plate 393. The display 391 can be connected to external connectors 137 by flexible circuit boards 136 which wrap around the sides of the bowl. The backlight housing preferably has a volume of less than 0.5

cubic inches. The display module preferably has a volume of less than 2 cubic inches.

Lighting System for Reflective Liquid Crystal Display

The details of a lighting system 102 for a reflective micro, display of the invention will now be described in connection with Figure 6. Illumination for a reflective LCD system 500 based upon the active matrix circuit described heretofore in connection with Figure 2 is provided by an array of Light Emitting Diodes (LED(s)) 501 disposed adjacent light-diffuser 505 which uniformly transmits the source LED light to a linear polarizer 502.

The linear polarized light 516 from polarizer 502 is passed to a polarizing beamsplitter or prism 508 which is reflected by beam beamsplitter 508 and is incident on specularly reflective LCD 506 to provide the requisite illumination. The light incident on LCD 506 is selectively reflected to generate an image that is rotated by 1/4 wave plate 504 so that it is transmitted through splitter 508 and through lens 510 to the observer 512.

Shown in Figures 7A-7C are preferred embodiments of an LED backlighting system utilizing a diffuser for a transmission display in accordance with the invention. In a first embodiment of an LED illumination system 400 shown in Figure 7A, blue (B)402, green (G)404, and red (R)406 LEDs are optically coupled to a flat diffuser element 408 around the periphery of an illumination area of 410 that is positioned adjacent the display active or viewing area. For a display having a diagonal of 6.35 mm, the side of 412 of the viewing area 410 can be about 3.81 mm in size, and the length 414 of the viewing area can be about 5.08 mm. The diffuser 408 can be a plastic material such as acrylic and the back of the diffuser can be coated with a reflective material to improve light output of the device.

In another embodiment of an LED display illumination system 420 as shown in Figure 7B, the LED's 422 are coupled in pattern to the edge of the diffuser 408. The LEDs 422 are actuated in sequence 407 to provide color sequential
5 operation with fewer LEDs.

In the system 430 of Figure 7C, the display 432 is coupled to an angled diffuser 436 at interface 440. The linear array of LEDs 434 are coupled at one end of the diffuser and a reflective back surface is designed to
10 evenly distribute light as it is directed through the interface.

Illustrated in connection with Figure 8 is a cellular telephone 200 having a magnified microdisplay in accordance with the invention. The display can be included in a base
15 portion 210 of a "flip-phone" along with keypad 218 and microphone 220. The speaker 206, or the display or a second display as well as additional circuitry can be included in second portion 208 that rotates relative to the base 210. An antenna 204 can telescope out of the base for
20 improved wireless reception. A battery is housed at 212. A lens 202 can be viewed by the user while holding the speaker to his or her ear thus enabling both viewing and voice transmission at the same time. The display can be turned on or off at switch 216 to save battery life when
25 the display is not in use. The magnification can be adjusted at knob 214.

Additionally, a small camera 215 such as a charge coupled device (CCD) or other solid state imaging sensor can be mounted on a telescoping element to provide an
30 imaging or video-conferencing capability. The camera can be pivoted so that the user can point and hold the camera in any selected direction. The image generated can be seen on the display and/or transmitted to a remote location, selected buttons or touch pad keys 218 can be used as a
35 mouse control for the display.

Alternatively, the display can be formed in a modular component that snaps onto the base portion of a standard telephone and couples to a display circuit port in the base section of the telephone. This is illustrated in the preferred embodiments of Figures 9A - 9J.

Figure 9A shows a telephone 250 having standard features such as a display 252 and a port 254 for external communications. The modular display unit 260 shown in Figure 9B is configured to dock with the telephone 250 wherein the connector 268 is inserted into port 254 and latch 264 connects to the top of the base section of telephone 250 thereby connecting the micro display within display subhousing 262 to the receiver within the telephone 250. The subhousing 262 pivots relative to main housing 270 to allow viewing of the display through lens 267 during use of the telephone 250. In this embodiment, telescoping camera 215 can extend from subhousing 262. Base 270 includes a second battery, drive electronics for the LED backlit LCD display on activation switch 266. Figure 9C is a sideview of telephone 250 showing the battery housing 250 on the opposite side from the speaker 206. Back panel 258 is shown in the rear view of Figure 9D along with second battery contacts 256 exposed thereon. When the telephone 250 is docked in unit 260, the surface 258 abuts surface 265 and connectors 264 are positioned against contacts 256 such that the telephone can be powered by the second battery in housing 270.

Figures 9E, 9F and 9G illustrate top front and side views of unit 260 where the subhousing is shown in both its storage position 274 and its viewing position 272. Figures 9H and 9I show back and second side views of unit 260 and illustrate battery access panel 275, focus knob 276 and control buttons 278 that are exposed on the side of housing 270 when the sub-housing 262 is rotated to the viewing position 272.

In the embodiment 280 shown in Figure 9J the telephone 284 is shown docked with housing 286. However in this embodiment, the display is mounted withing a pivoting unit 282. The user can swing unit 282 along arc 292 to expose
5 viewing lens 288. The user can also swing the display around a second orthogonal axis 294 at joint 298 so that the display rotates into a variety of viewing positions relative to hinge section 290.

Figures 10A and 10B illustrate another docking system
10 for a portable telephone. The element 286 of system 300 includes mouse controls 303 that can be positioned on the front or rear of the element. The telephone, which can incorporate a touchpad 301, nests within the docking element 286 and is electrically connected to the element
15 through a connecting port as described previously. Part of the base section houses a display module 306 having a display window 309 and can optionally also include a CCD or CMOS camera 310 in module 305. The modules 305, 306 can be manually or electrically actuated to move between a cavity
20 within the base element and an operating position outside of the base section. Each module 305, 306 can rotate around axis 308 when in the operating position for easy repositioning by the use. The display can be used as a view finder for the camera. The base section can
25 optionally rotate around the longitudinal axis of the base section for left or right handed use.

The docking element 286 can also include a PCMCIA card slot 302 and a touchpad on the rear panel adjacent the docking element battery. Slot can receive an image data
30 card on which data can be stored or retrieved.

Figure 11 illustrates another preferred embodiment of a docking element 311 in which the display module 312 can be moved along axis 314 between a position within the base section to an operating position outside the docking

element housing. The image viewed through lens 313 can be inverted for left or right handed use.

Another preferred embodiment of the docking element is illustrated in Figures 12A and 12B. The element 315 has a rotating portion on the back of the telephone and includes a display module 316 which rotates around a second axis 318 to expose the viewing port 317. The module 316 can rotate around a third axis for positioning by the user.

Another preferred embodiment of the invention is illustrated in connection with Figures 13A-13F. In this embodiment a cellular phone 552 docks with a docking element 550. Docking system 550 has a two display module ports 554 and 556. Either port 554 or 556 can receive the display module 580 that is illustrated in Figure 13F. Figure 13A illustrates port 554 on a first side of system 550 and docking port connector access 571. Figure 13B shows a second port 556 on a second side of the docking system as well as a joystick element 560, a mouse button 558 and an external mouse port 562.

Figures 13C and 13D show the docking element 550 without the phone 552. These illustrate the phone docking connector 566 and the phone retention clip slot 564.

Figure 13E shows an exploded view with the housing 550 having top 551 and bottom 553. The housing contains the joystick 561, the phone port connector 565, circuit board 570, docking port connector 572 clip 563.

The display module housing 580 has a connector 586 that electrically connects the display circuit to the circuit board 570. A circular element 584 connects to each port 554, 556 and permits the housing 580 to rotate relative to system 550 so that the viewing window 582 can be positioned by the user.

Illustrated in Figures 14A-14C is another preferred embodiment of a telephone system 600 incorporating the microdisplay of the present invention. In this desktop

system a handset 602 is connected by cable or wireless connection to a base containing a standard telephone keypad 604. The display operates in a rear projection configuration within housing 610. The housing 610 can pivot 620 or swivel 612 and includes a camera 608 so that a user viewing screen 606 can be seen by a person with a similar system. Further details regarding rear projection systems are described in U.S. Patent 5,467,154, the contents of which is incorporated herein by reference.

10 Figures 15A, 15B and 15C show side cross-sectional, front and front cross-sectional views of a hand-held rear projection system 320 using a microdisplay. The system 320 includes a microdisplay and backlight assembly 330, a projection lens system 326, a reflective screen 328 and
15 optional retractable sun screens 324. The device has a thickness 322 of less than 2 inches, preferably about 1 inch, a height 336 of less than 8 inches, preferably about 5-6 inches and a display diagonal 334 of 4 inches or less, preferably about 3 inches. This provides a system volume
20 that is preferably less than about 40 inches. The rear reflective screen 328 is shown in the front view of Figure 13C at 338 and are surrounded on 3 sides by retractable shades 332 (324). The handle portion can include speakers 338 and an earphone jack 325.

25 A body worn hand-held display system is shown in Figures 16A and 16B. The hand-held unit 350 includes a microdisplay viewed through port 352 that is controlled by control element 356 and connected by cable 354 to a body worn communications pod 340.

30 Figure 16C illustrates another preferred embodiment of the invention including the use of a microdisplay in the viewfinder 674 of a camcorder 660. The camera lens 664 is positioned at the opposite end with tape or recording disk 672 access on one side and control panel on the top and
35 opposite side. Shown in Figure 16D is a pistol grip

camcorder having a sliding 670 QVGA microdisplay viewer 668 opposite to the camera lens 662. Control element 666 operates the record function of the camera.

Another preferred embodiment of the invention relates to a card reader system. Such a system 700 is illustrated in connection with Figures 17A-17C and includes a housing 705 with a port or aperture 712 for insertion of a card 730, a display system 706 for presenting information to the user, a card reader 734, a control circuit 736, and a control panel 715 that controls reader operation. The display system 706 can include the color sequential display module as described previously herein.

The card 730 being read by the reader can be a so-called "Smart Card" or a PCMCIA card. Smart cards are commercially available and can include elements 738 such as a memory for storing data, a controller, a power source, and a coil antenna 732 to interface with the reader, all mounted on a piece of plastic. This type of card can be used to store personal financial information, personal medical history, insurance information, and/or many other types of data useful to the card user. More details regarding such cards can be found in U.S. Serial No. 08/680,210 filed on July 11, 1996, the entire contents of which is incorporated herein by reference. Alternatively, the card 730 can be a PCMCIA card such as a modem including a wireless receiver or data storage card.

The user is often interested in displaying information contained on the card and in controlling access to this information. The card reader of the present invention is used to provide access to this information by displaying selected information stored on the card. As shown in Figure 17A, the reader housing 705 has a viewing window 702 and a slot or aperture 712 for insertion of at least that portion of the card containing the interface 732 to permit reading of information stored in card memory. The user

manipulates control elements or buttons on a control panel 715 of the reader housing 705 to operate the system. The elements can include an on/off switch 708 and a four way element 710 to scroll the display up, down, left or right.

- 5 An internal battery 720 provides power for all reader functions.

In an alternate embodiment of the invention, the reader 700 can also include an imaging device 718, including a CMOS or CCD imaging circuit 722 and imaging
10 optics 724. Button 714 can operate the cameras 718 and select button 716 allows the user to select from a menu of reader 700 operations.

As shown in Figure 17B, another preferred embodiment provides for detaching the display 706 and or the camera
15 718 from the housing 705. Either detachable element can be electrically connected to the housing 705 with a cable 726 from a socket 728 of either element 706, 718. The reader 734 is positioned in the housing 705 to be adjacent to the antenna 732 on the card 730 or can be any other suitable
20 interface such as a magnetic strip reader.

Head Mounted Display System

In yet another embodiment of the invention shown in Figure 18A, the HDTV color active matrix display, as described in connection with Figure 2A, is provided with
25 suitable optics and incorporated into a housing 860 and pivotally attached to a headband frame 861 to provide a novel head mounted display system 864. In general, the system 864 is comprised of a unique headband frame 861 and adjustable strap 862 for attaching the system to the user's
30 head, a side-mounted speaker system 866 connected by cable 868 to electronics console 870 attached to the front of the frame 862, a microphone 872 rotatably suspended from speaker frame 874, and the aforementioned display housing

860 dependent from console 870 and electronically connected thereto by cable 876.

Not shown in Figure 18A is a headband system comprised of two or more pads 180A, 180B, as shown in Figures 18B-
5 18E.

To allow for the broadest range of head sizes, the headband frame 861 utilizes two contoured foam pads 880A and 880B, angled, and spaced apart such that both small and large forehead curvature are accommodated. Each foam pad
10 also has two primary contact areas 881 and 883, that act in the same way. When combined with a strap 862 placed below the ball formed at the rear of the head, the net effect is that the headband frame 861 is securely located on the wearer's forehead 887 whether child or adult.

15 When the electronics are used, there is some heat being generated in the main housing or console 870. Prior art headbands used wide forehead pads which effectively trapped this heat at the wearer's brow. This proved to be quite uncomfortable after extended wear.

20 The foam pads 880A and 880B displace the headband frame 861 from the user's forehead 887 leaving a gap therebetween which serves as a warm air vent 875 to dissipate warm air generated by the electronics in console 870.

25 This new embodiment provides a "chimney-like effect" that effectively vents the warm air away from the wearer's face. The foam pads are removably attached, as by Velcro® type fasteners, and covered with terrycloth 861 for improved comfort. Optional additional vents 871 are
30 provided in the console 870.

Equivalents

While this invention has been particularly shown and described with reference to preferred embodiments thereof, it will be understood by those skilled in the art that
5 various changes in form and details may be made therein without departing from the spirit and scope of the invention as defined by the appended claims.

CLAIMS

1. A docking system for a telephone comprising:
a housing having a plurality of control
5 elements and an interconnect port that electrically
connects a circuit within the housing to a telephone
attached to the housing; and
a matrix display mounted to the housing and that
receives display data from the circuit.
- 10 2. The system of Claim 1 wherein the housing comprises
a first display port and a second display port.
3. The system of Claim 2 wherein the matrix display can
be mounted to the housing at the first port or the
second port.
- 15 4. The system of Claim 1 wherein the matrix display
further comprises an array of transistor circuits
formed with single crystal silicon, the array of
transistor circuits being bonded to an optically
transmissive substrate with an adhesive layer.
- 20 5. The system of Claim 1 further comprising a color
sequential display circuit.
6. The system of Claim 1 wherein the matrix display is a
color sequential display system including an active
matrix liquid crystal display and an LED backlight.

Microdisplay For Portable Communication Systems

Abstract

The invention relates to a microdisplay system that utilizes a small high resolution active matrix liquid
5 crystal display with an illumination system and a magnifying optical system to provide a hand held communication display device. The system can employ an LED illumination system and cellular communication or processor circuits within a compact housing to provide communication
10 devices such as pagers, telephones, televisions, and hand held computer devices with a compact high resolution video display.

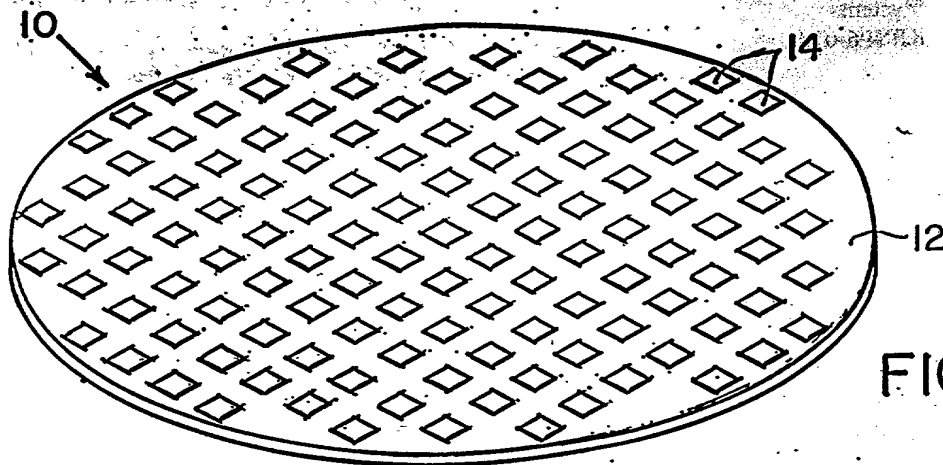


FIG. 1

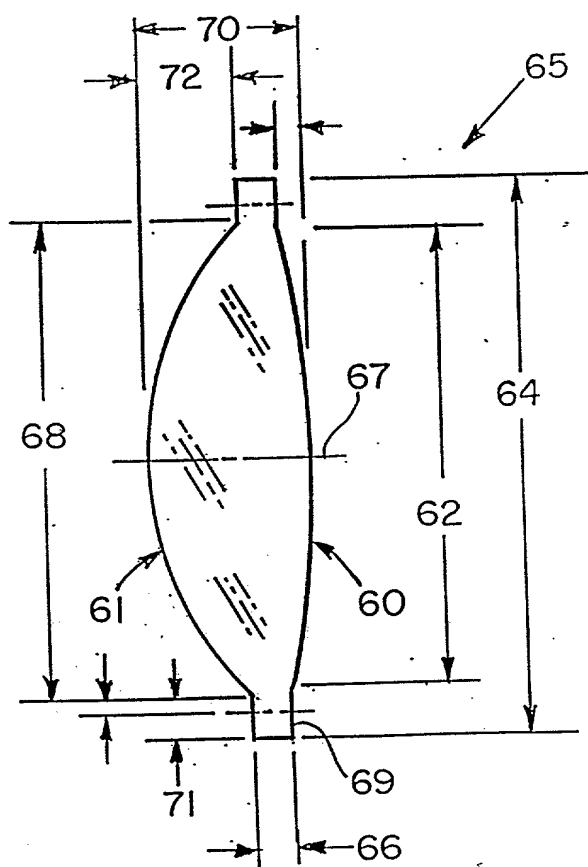


FIG. 5A

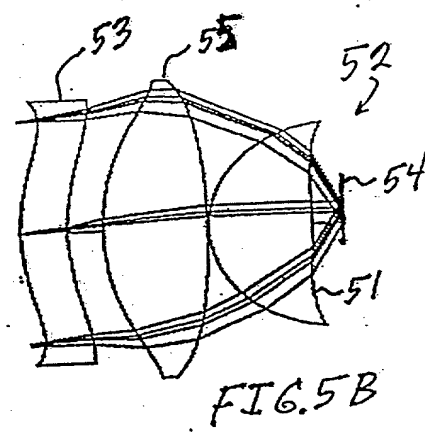


FIG. 5B

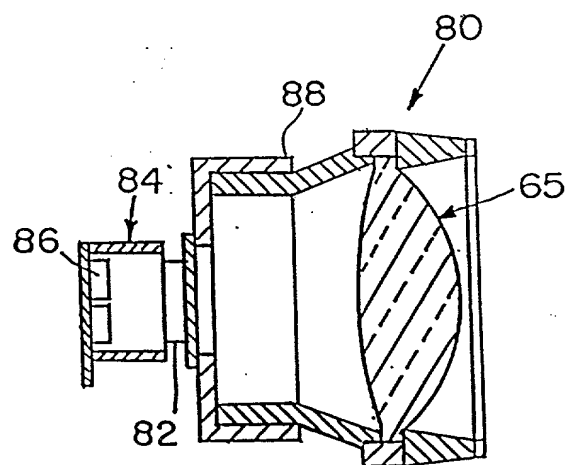
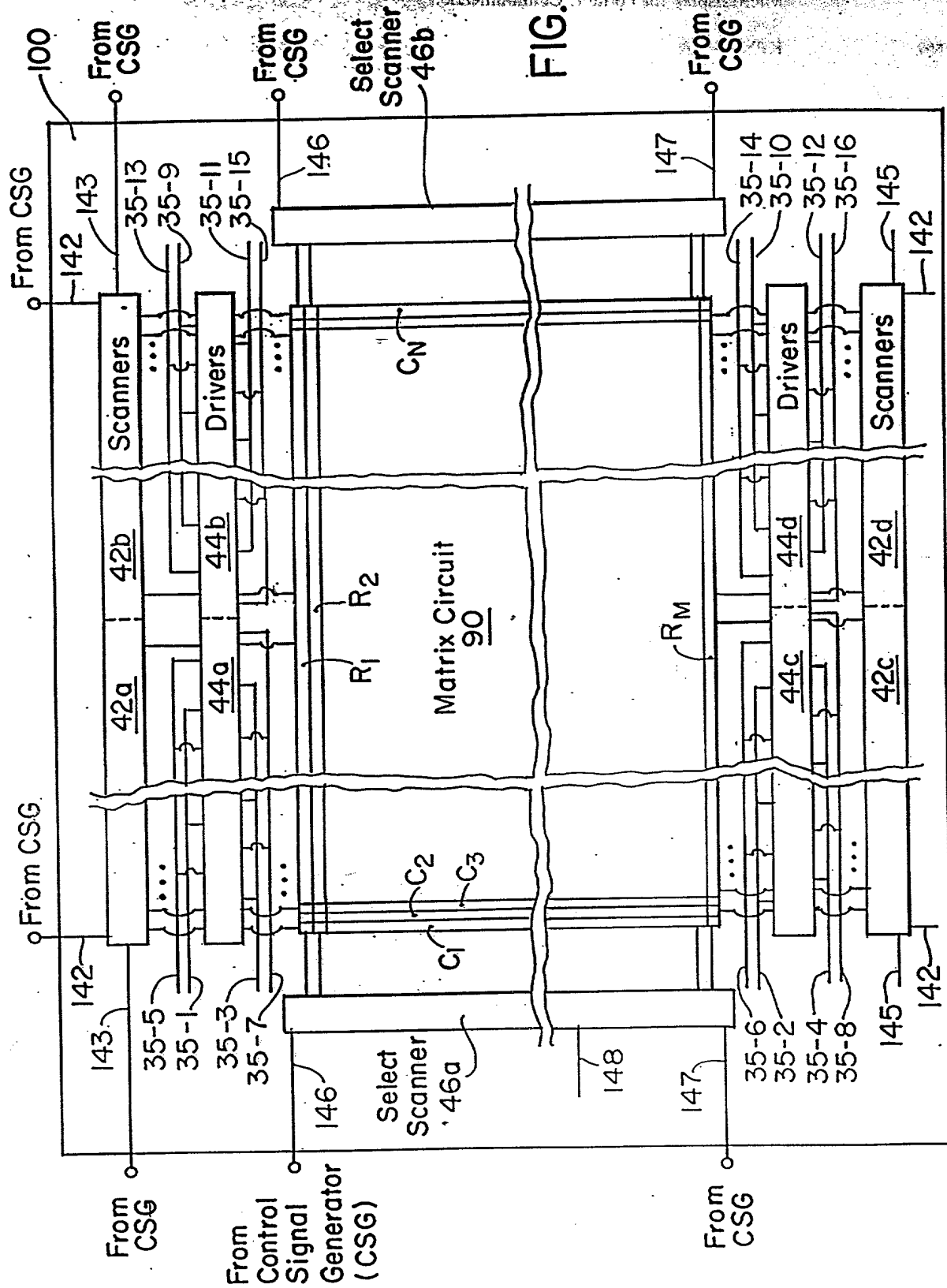


FIG. 5C



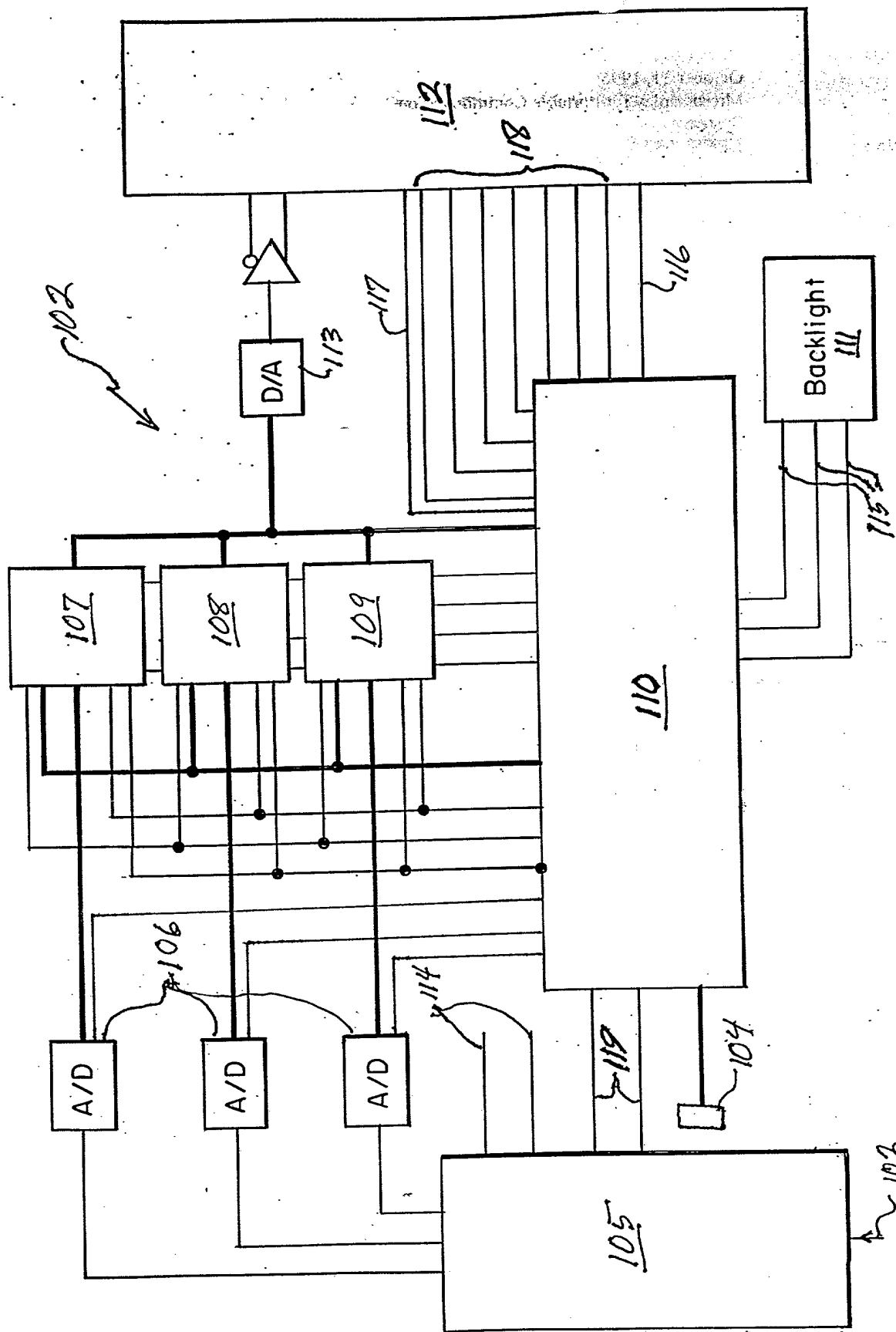


Figure 2B

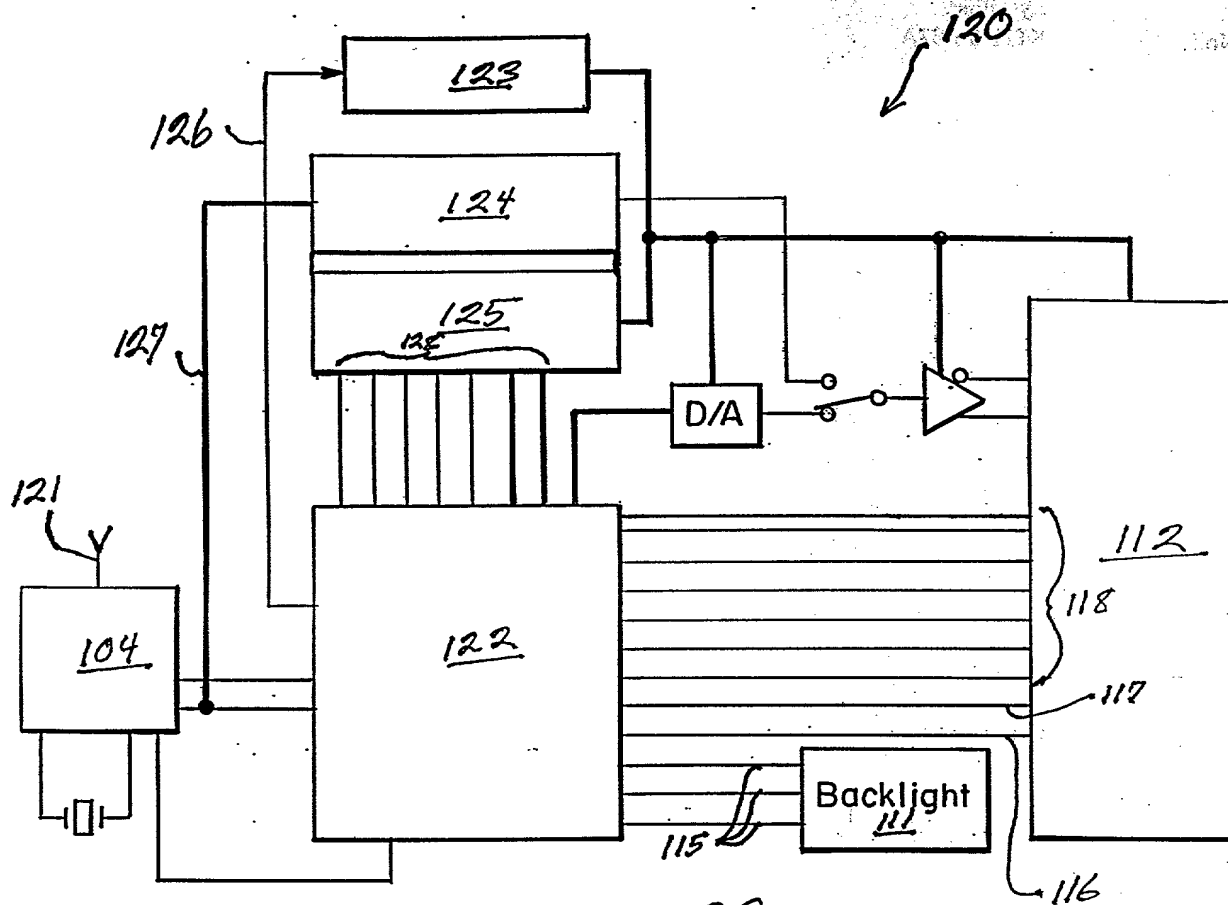


Figure 2C

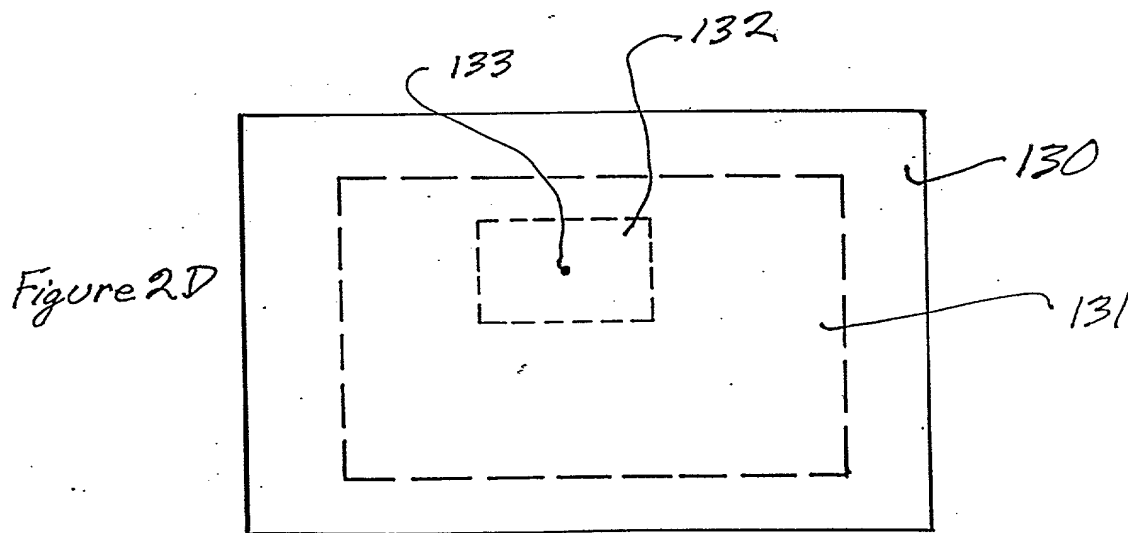


Figure 2D

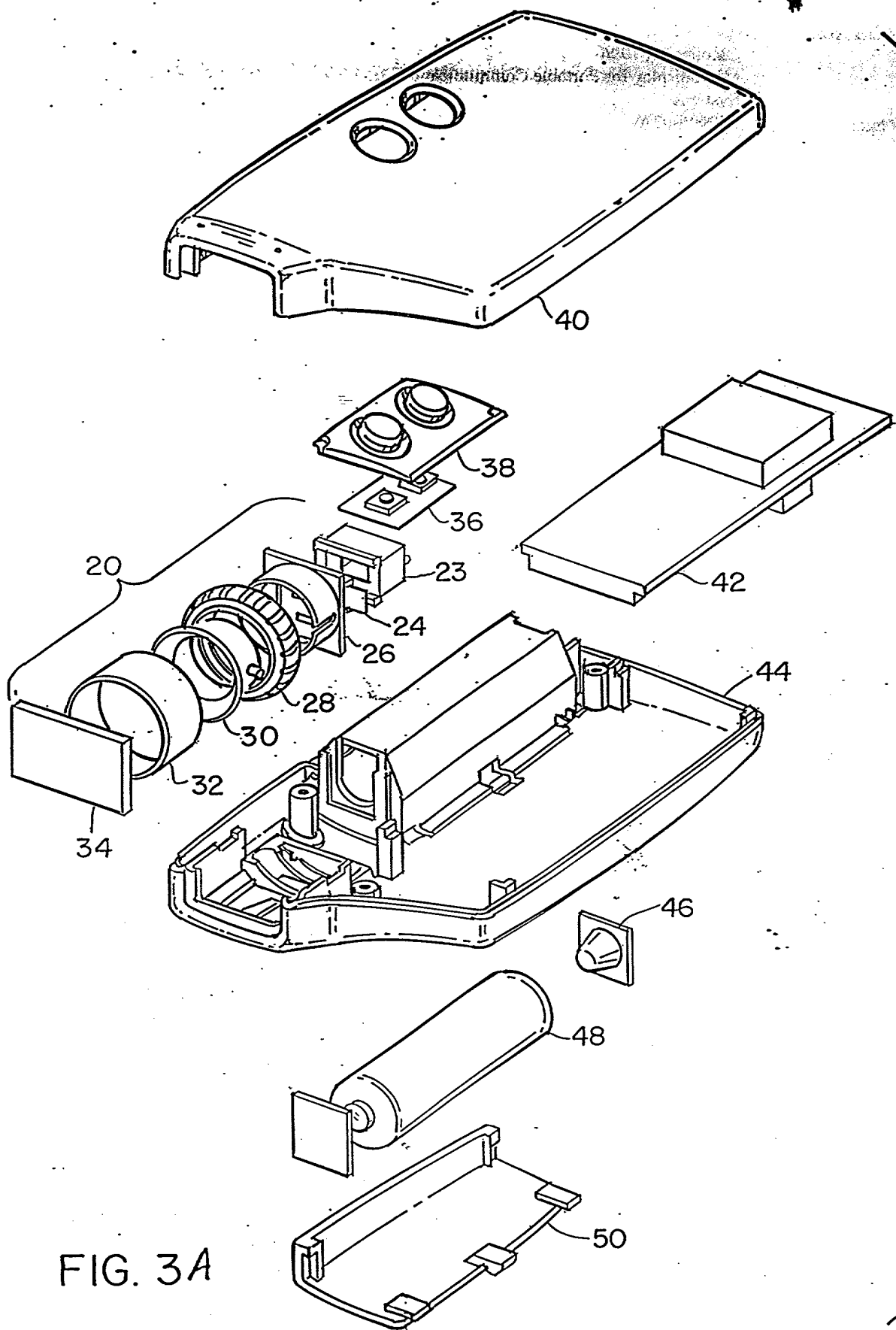


FIG. 3A

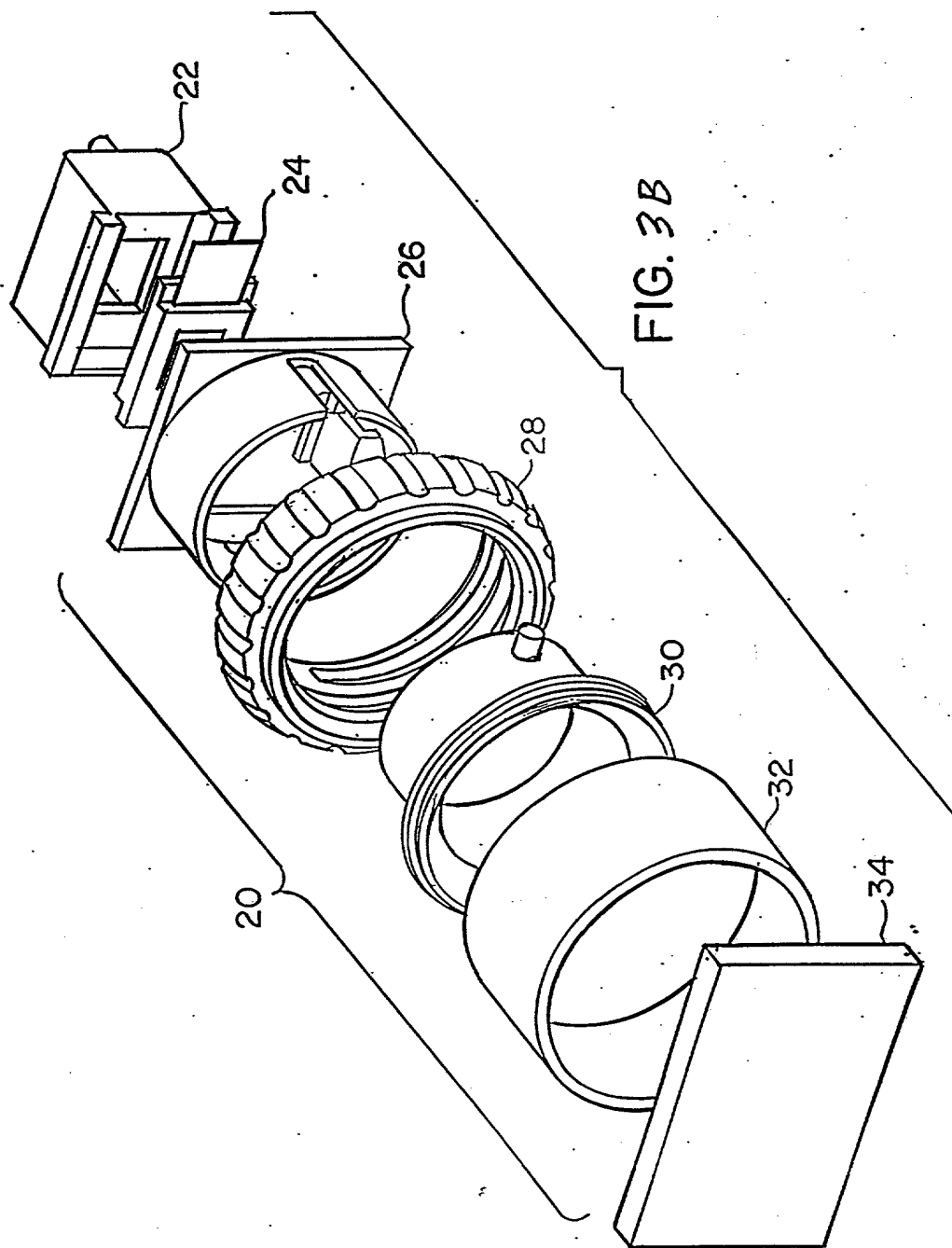


FIG. 3B

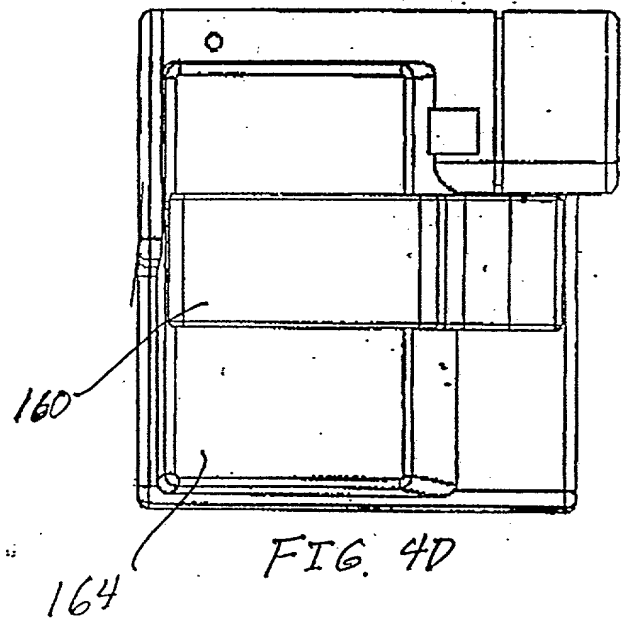
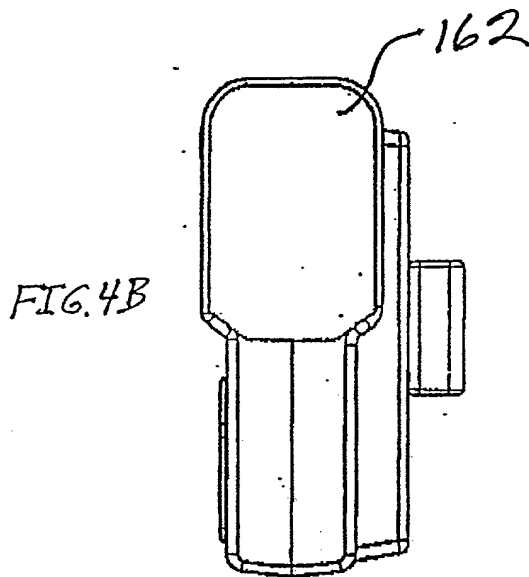
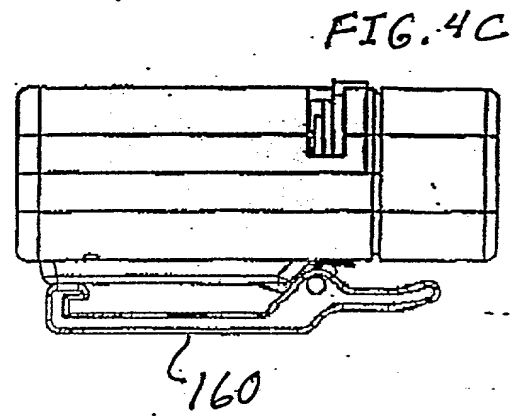
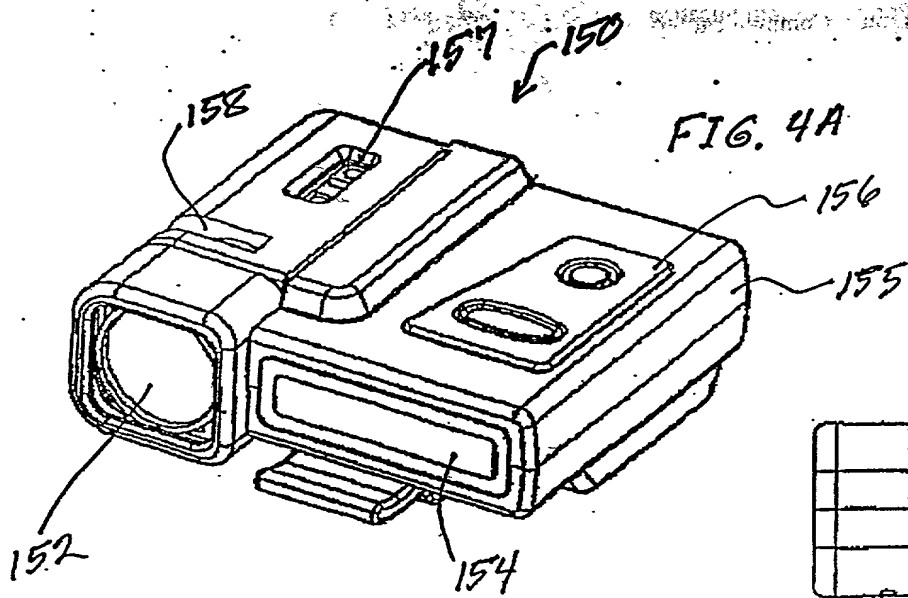
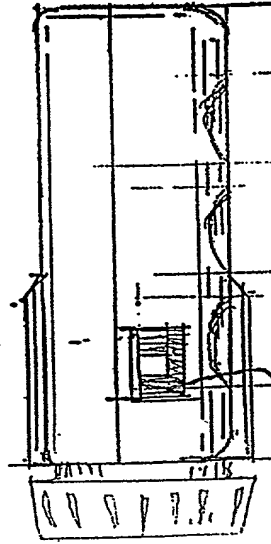


FIG. 4G

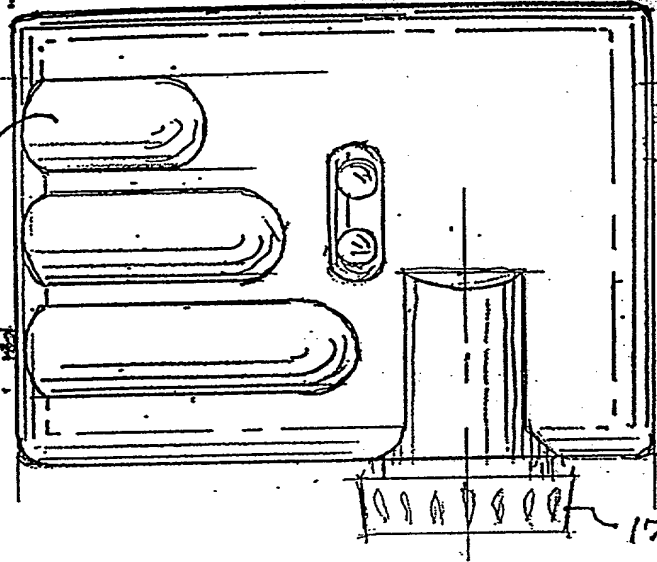


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FIG. 4F



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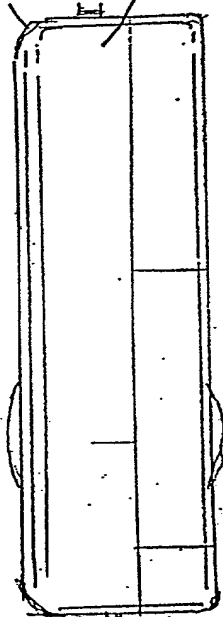
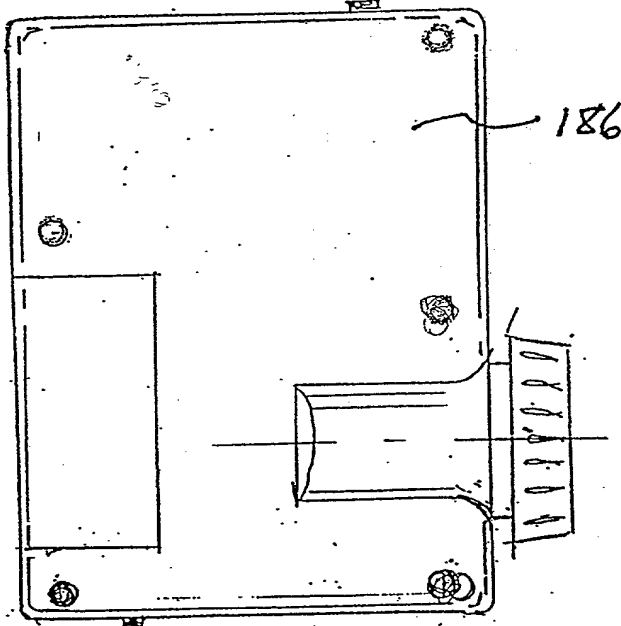


FIG. 4H



186

FIG. 4I

Figure 4J
190 →

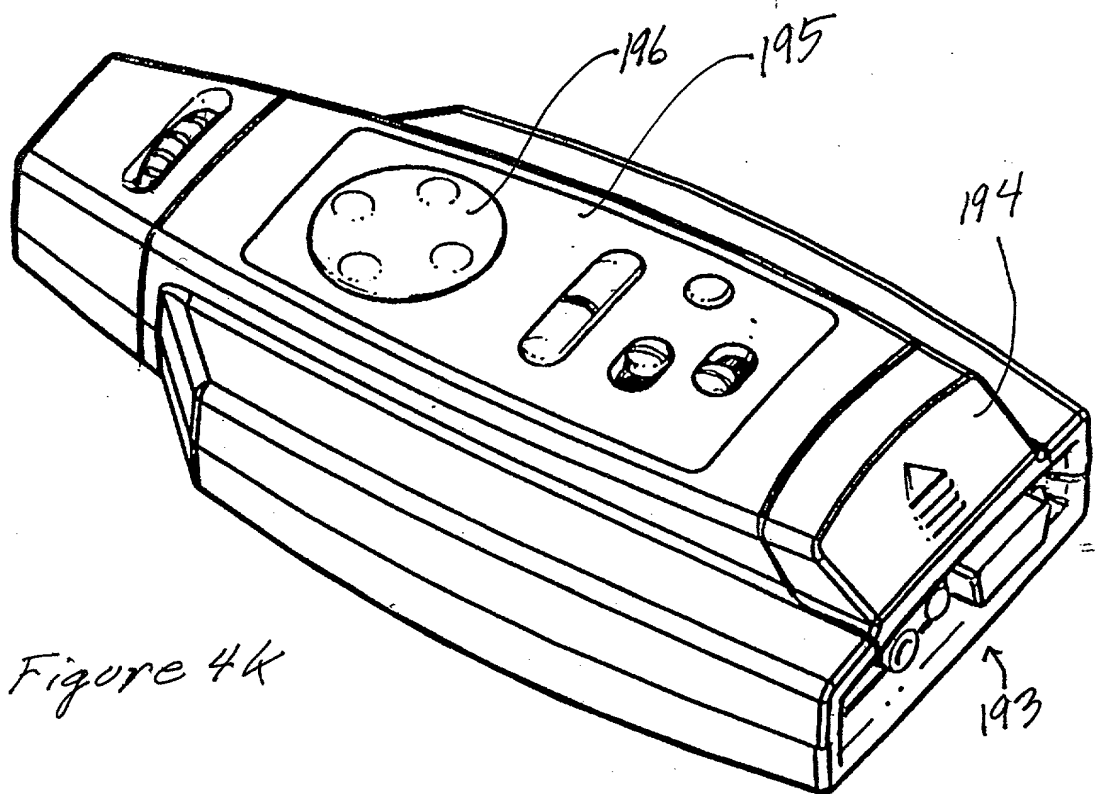
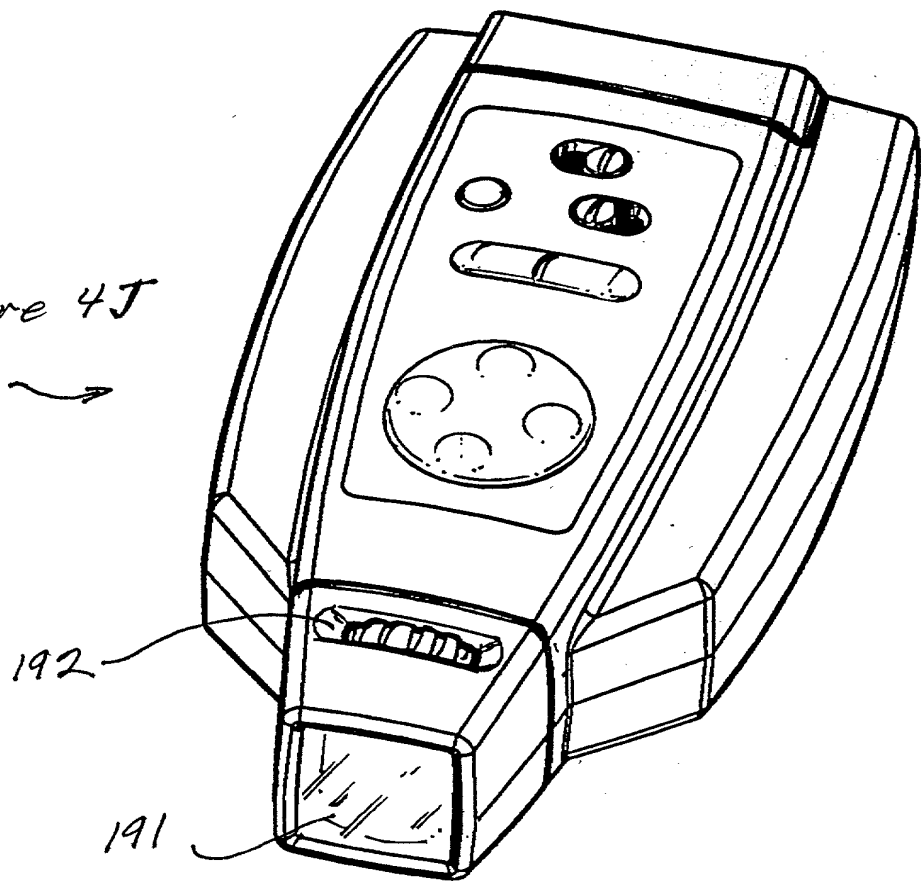
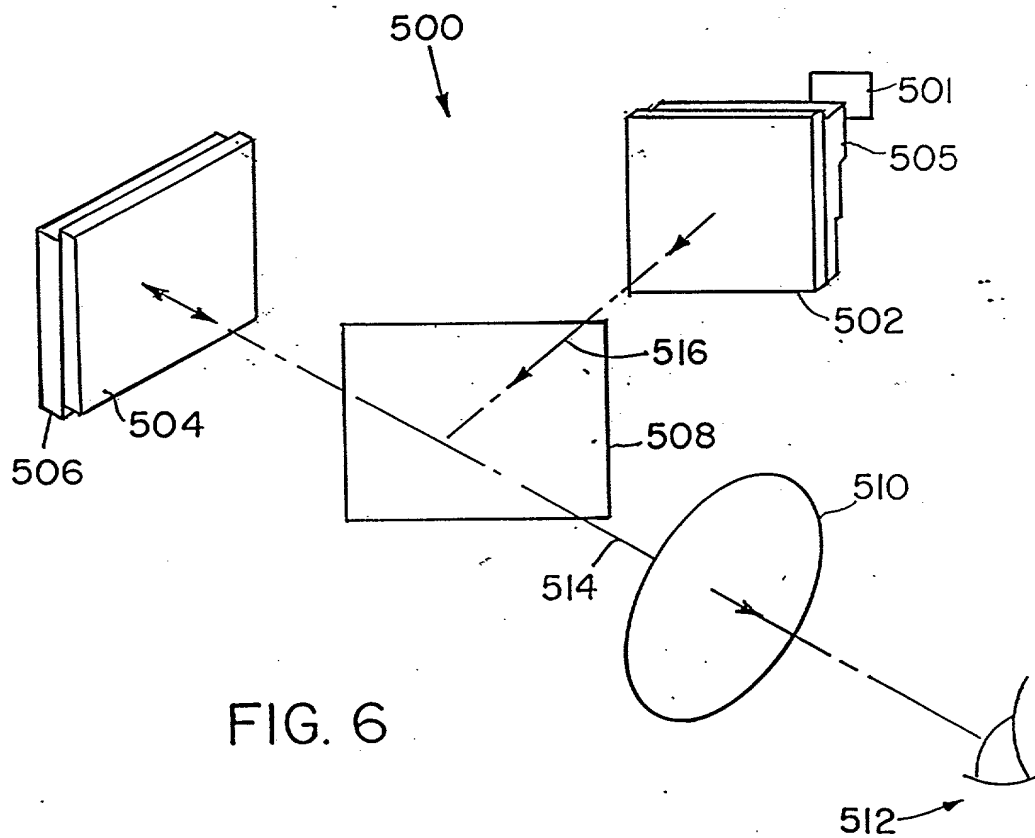
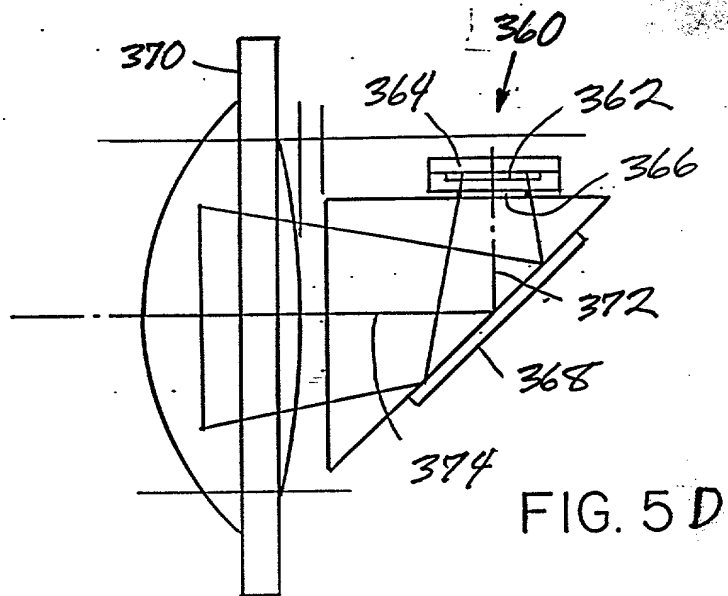
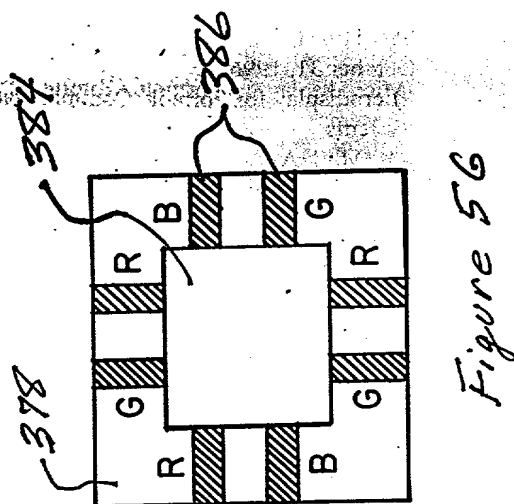
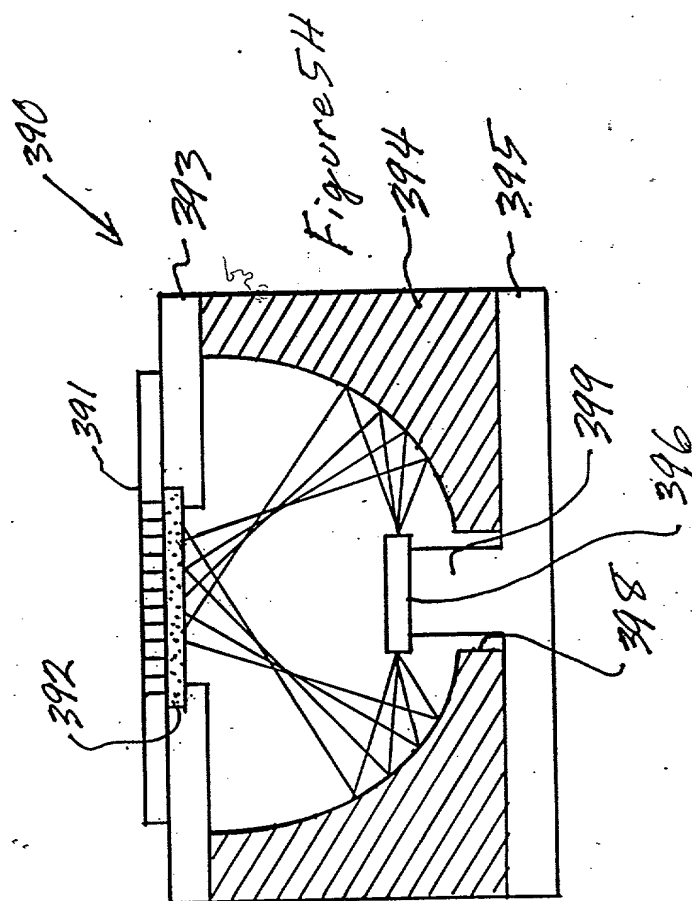
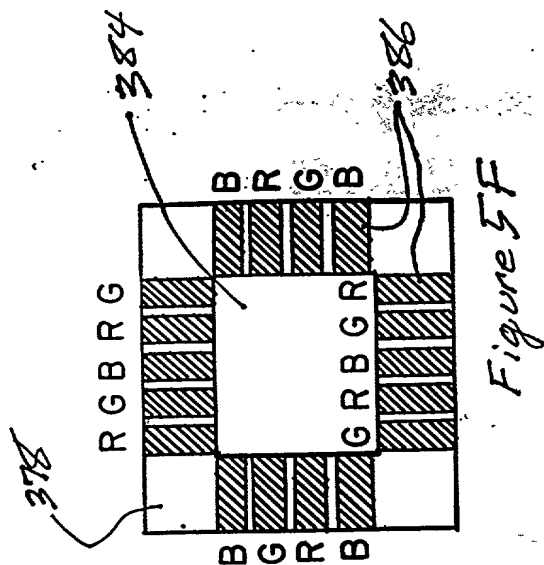
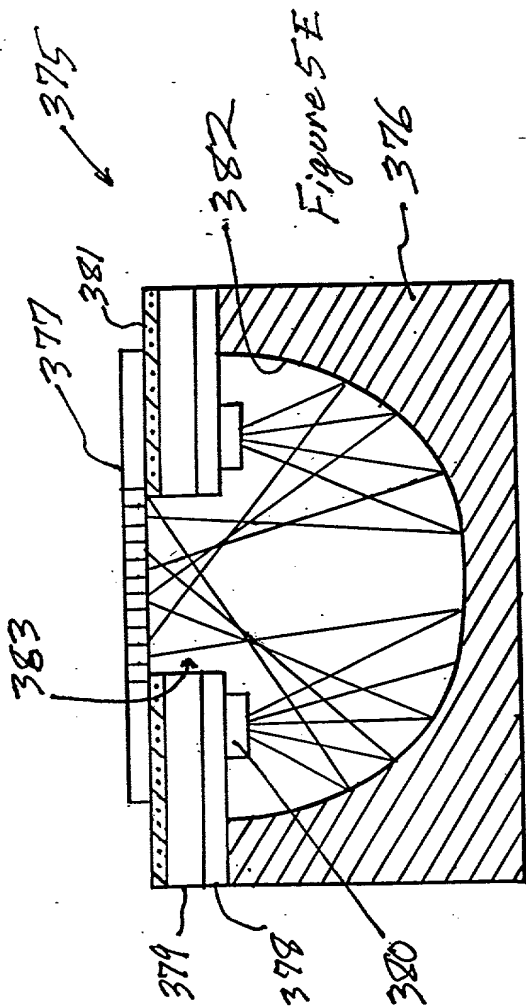


Figure 4K





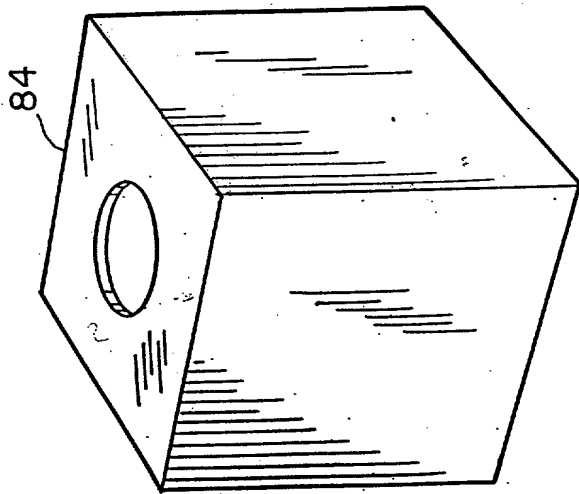


FIGURE 5I

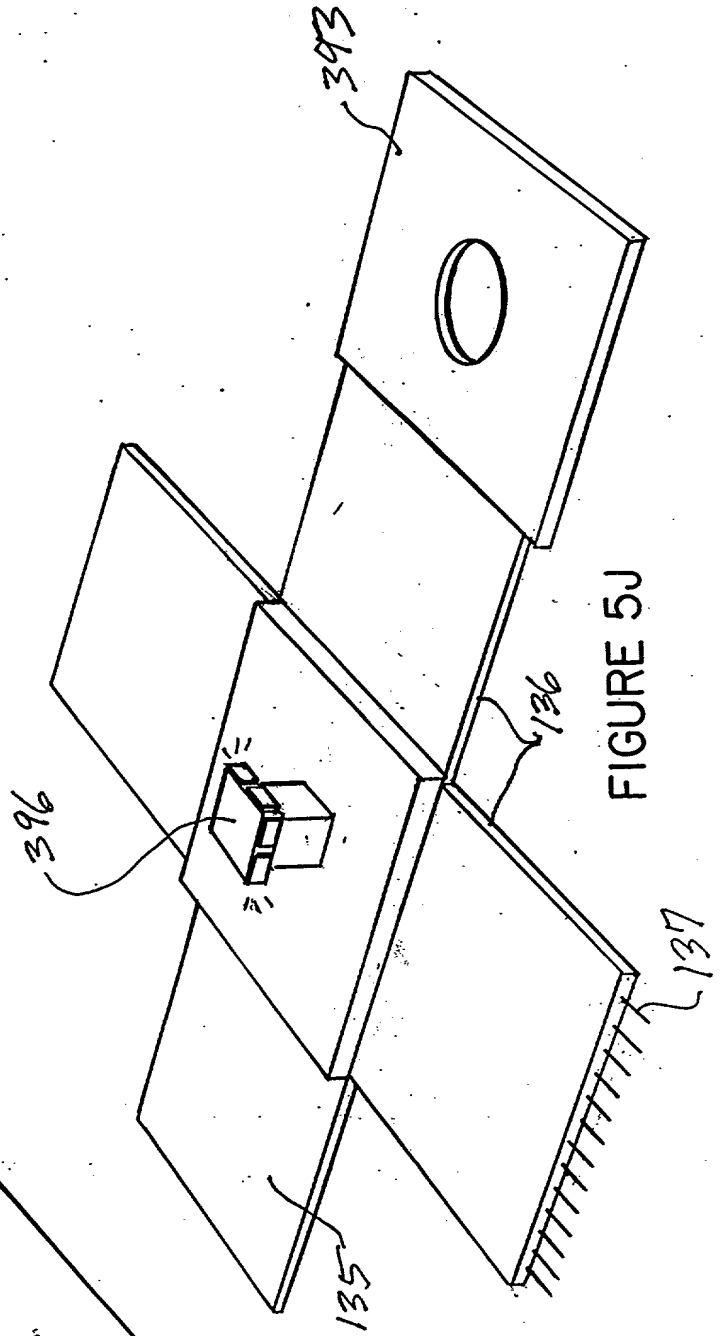
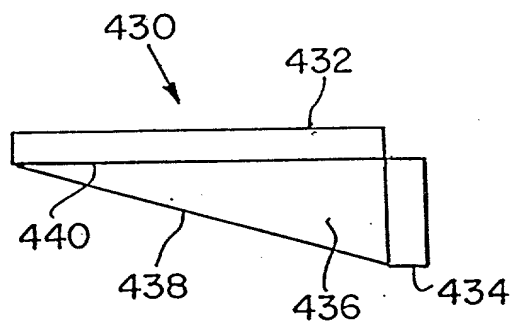
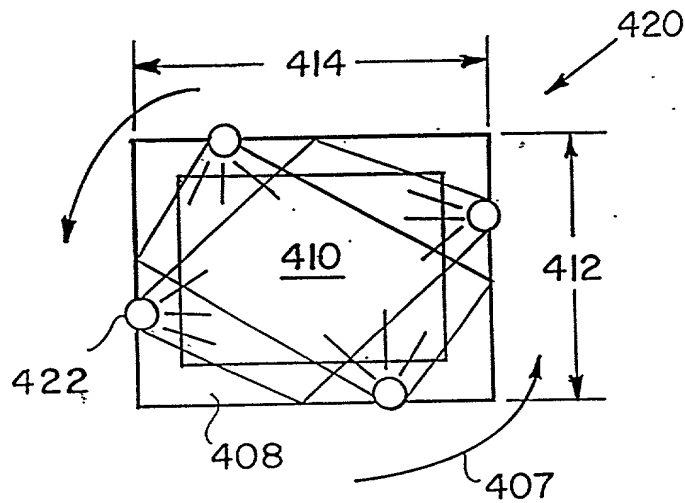
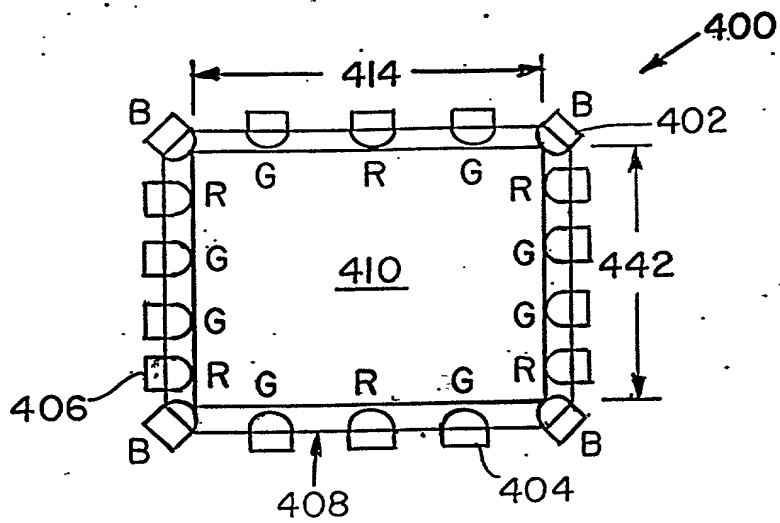
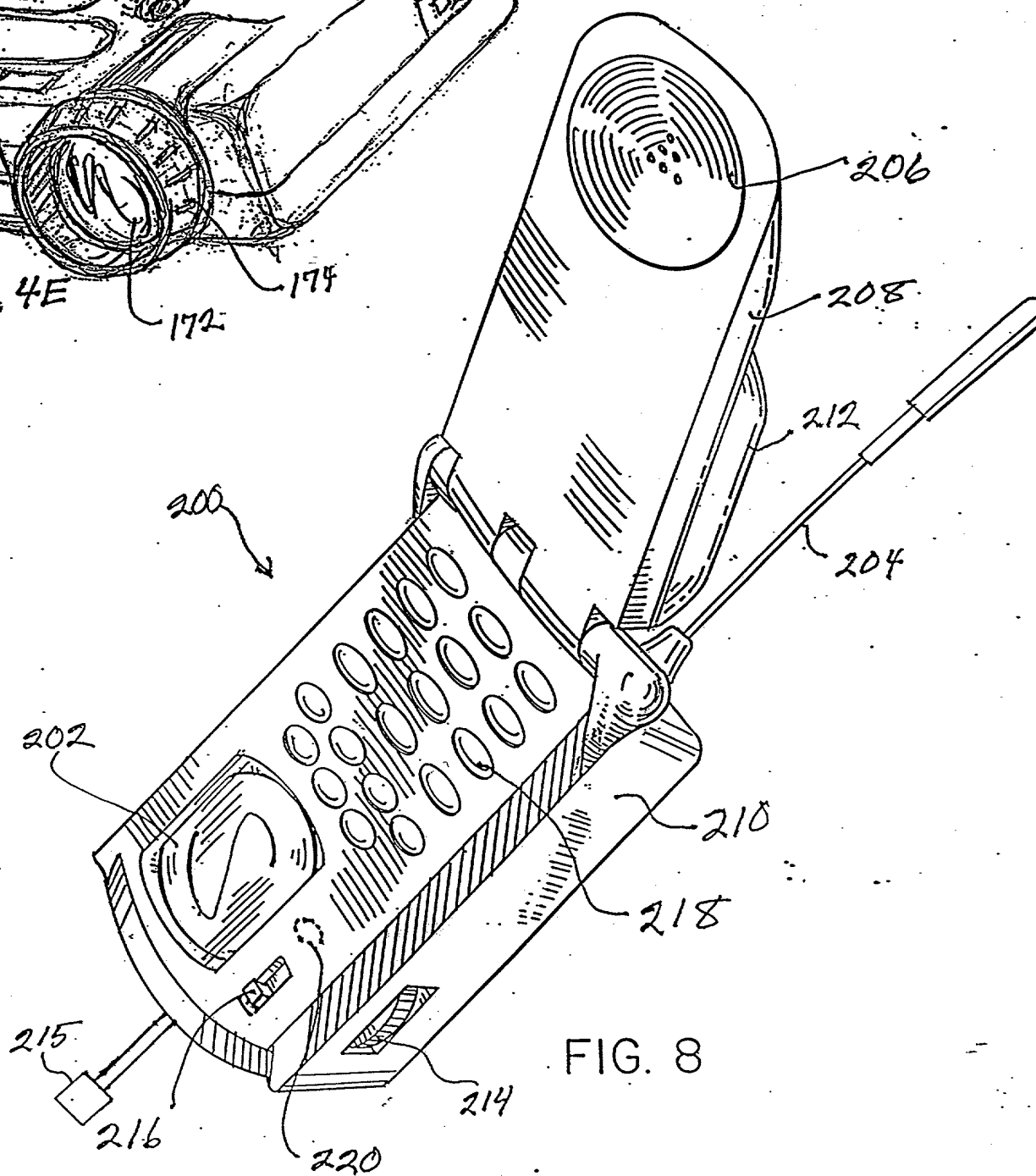
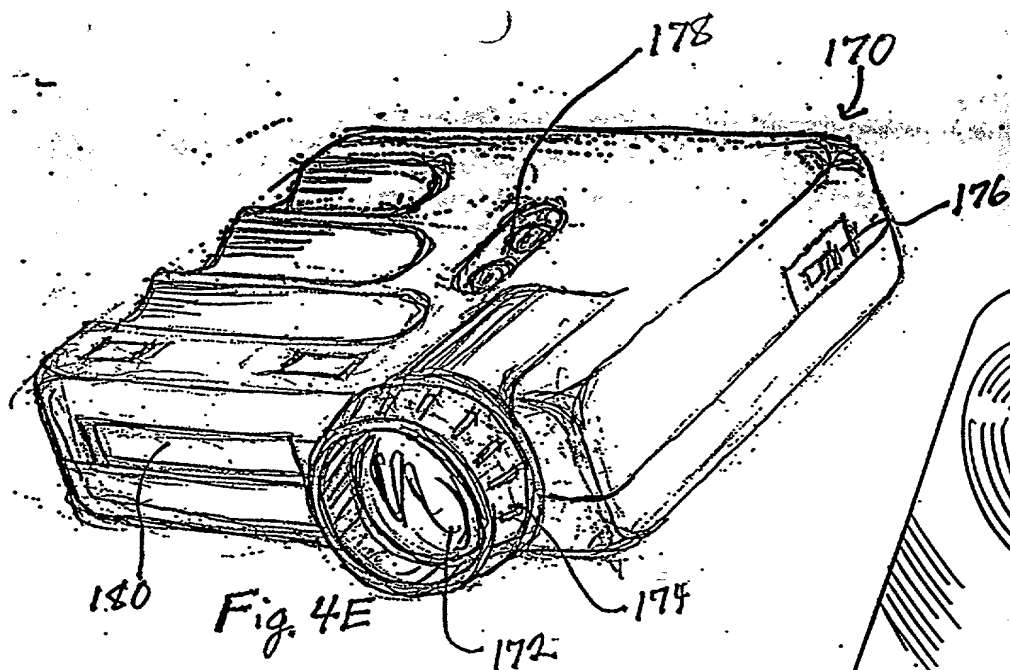


FIGURE 5J





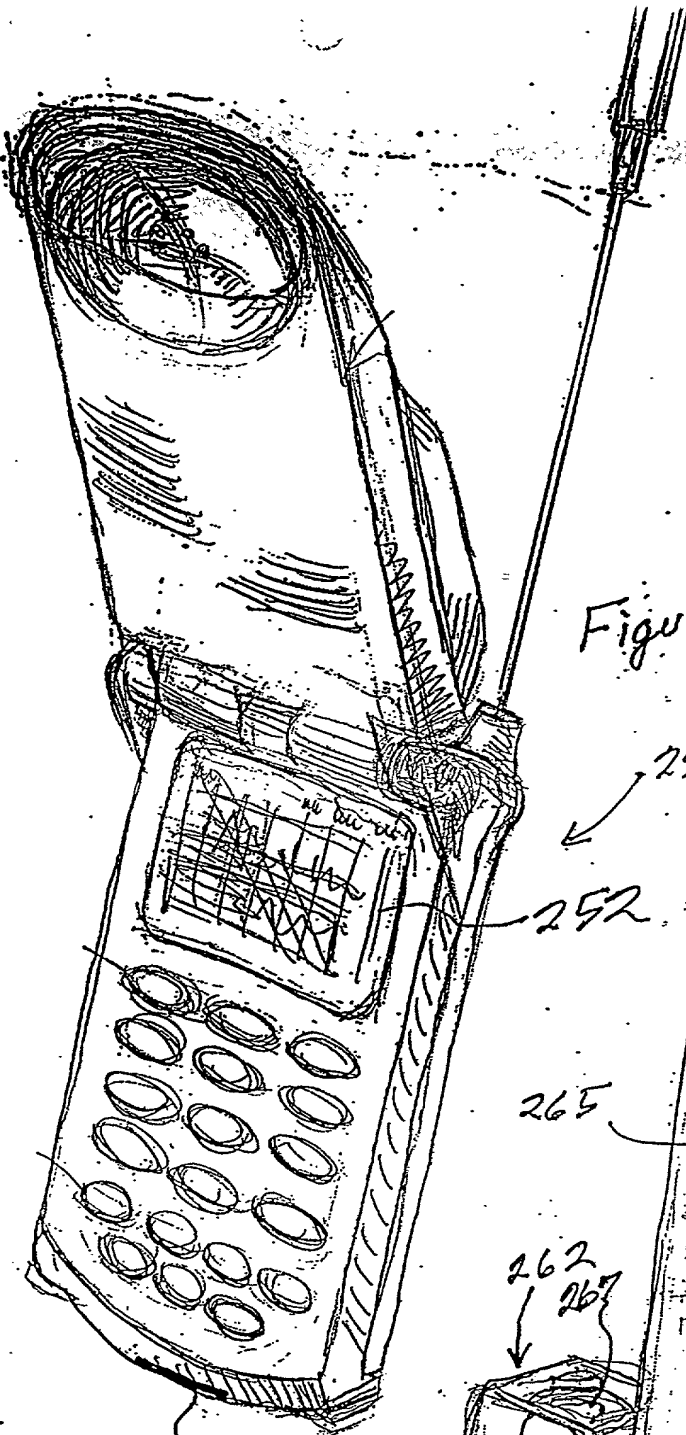


Figure 9A

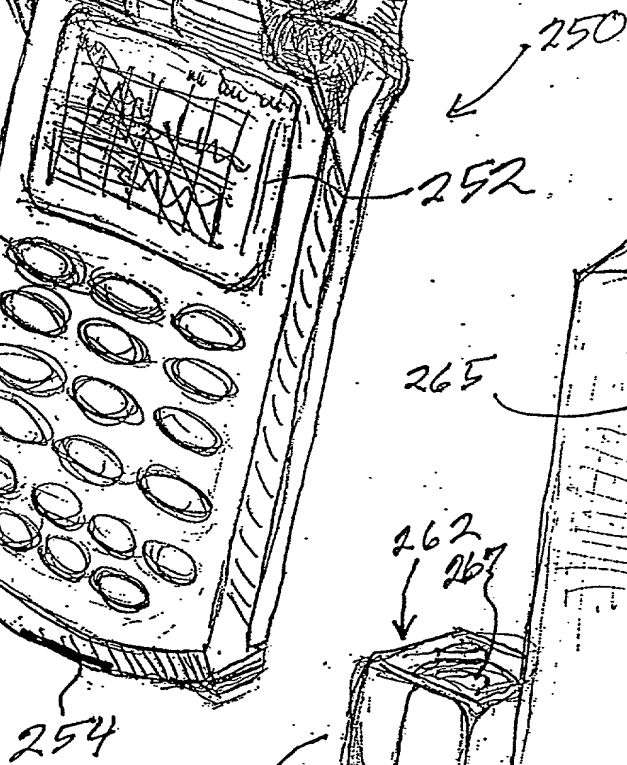
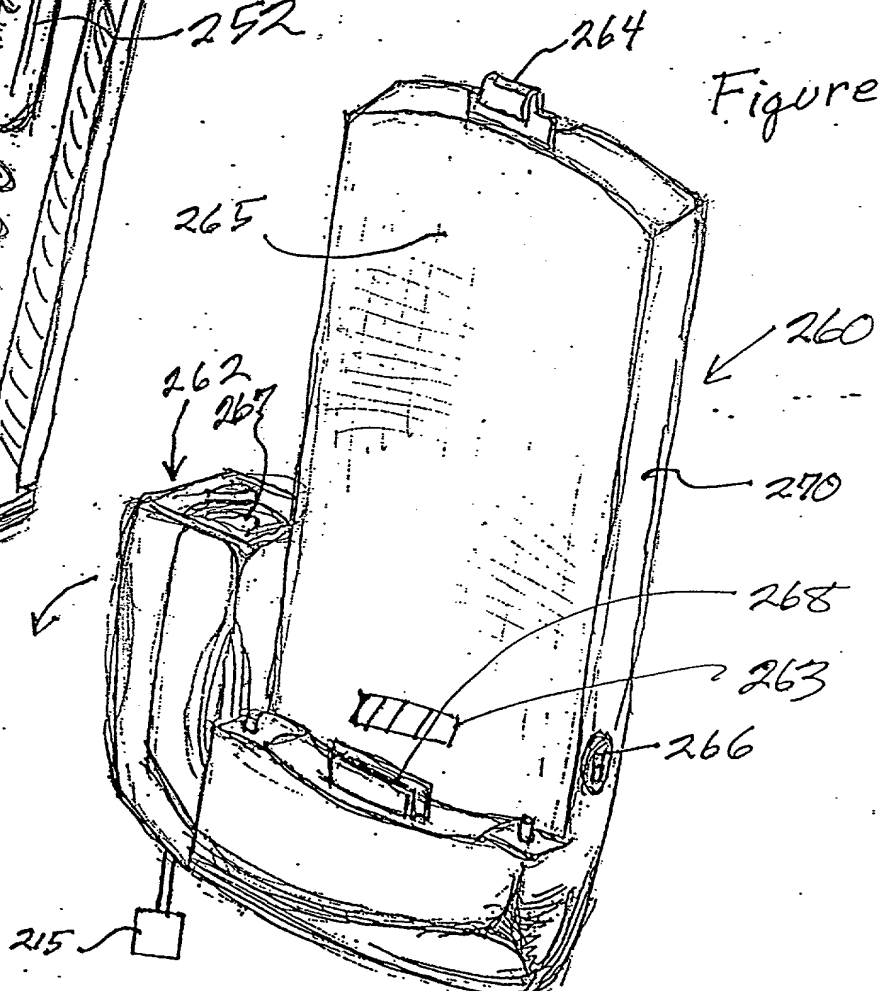


Figure 9B



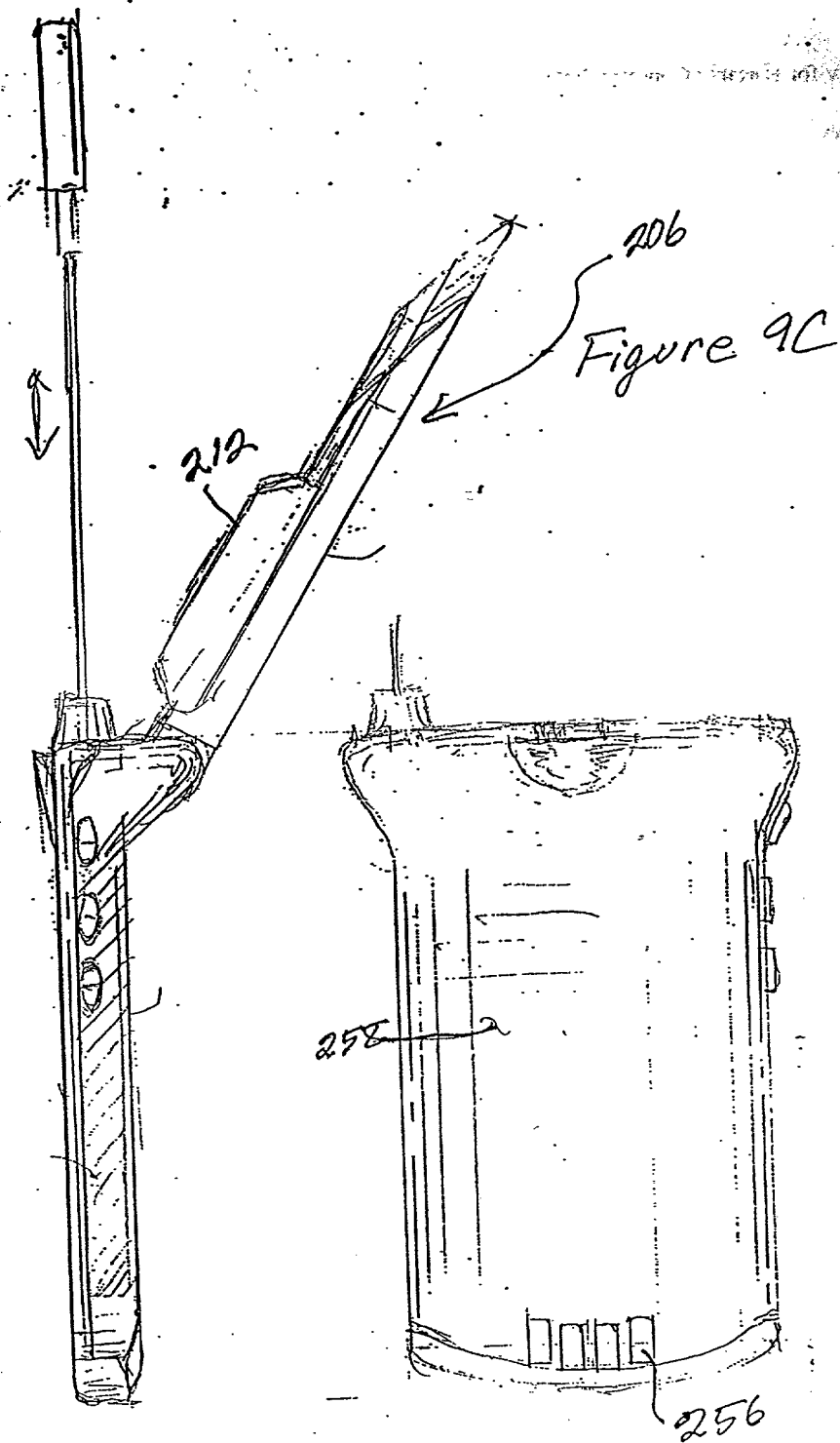


Figure 9C

Figure 9D

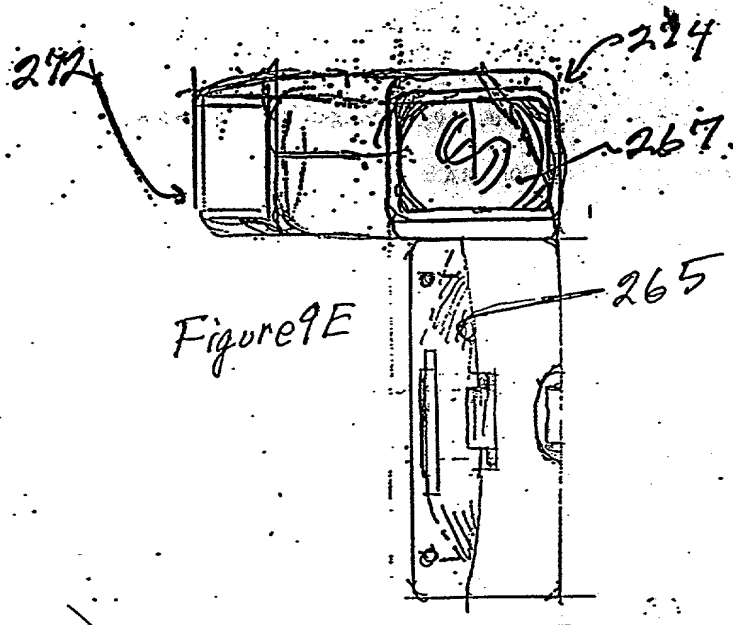


Figure 9F

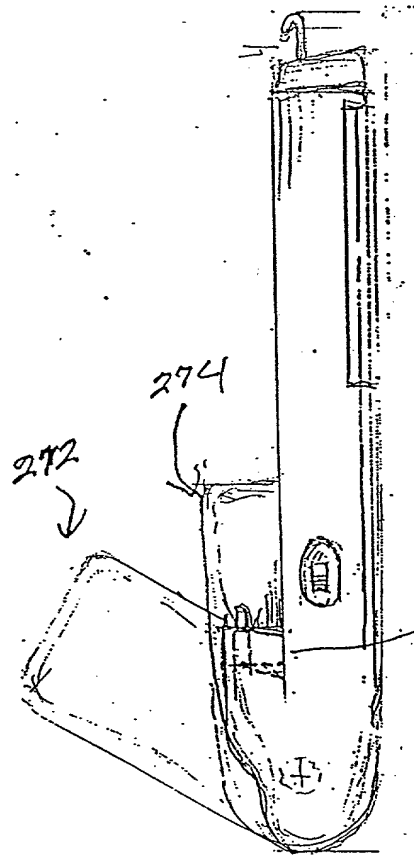
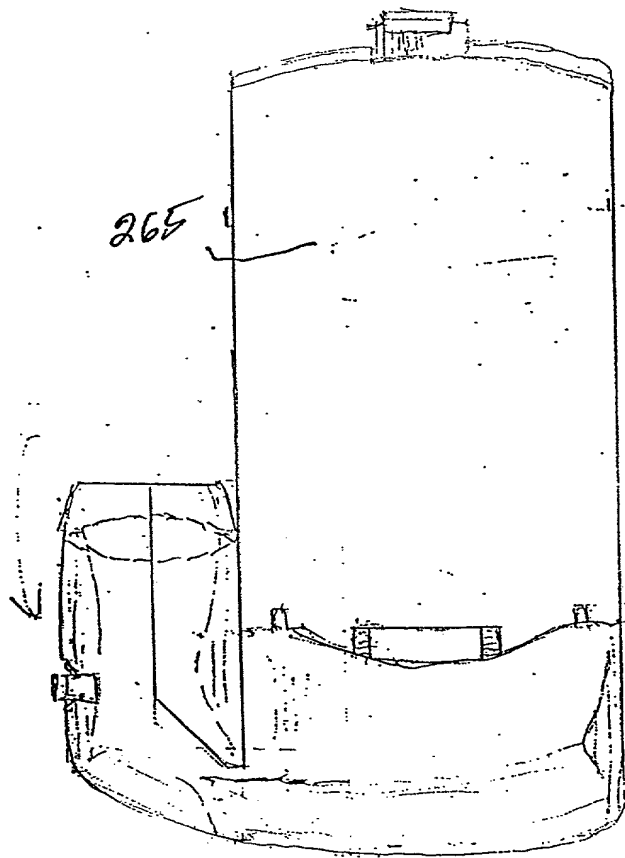


Figure 9G

Figure 9H

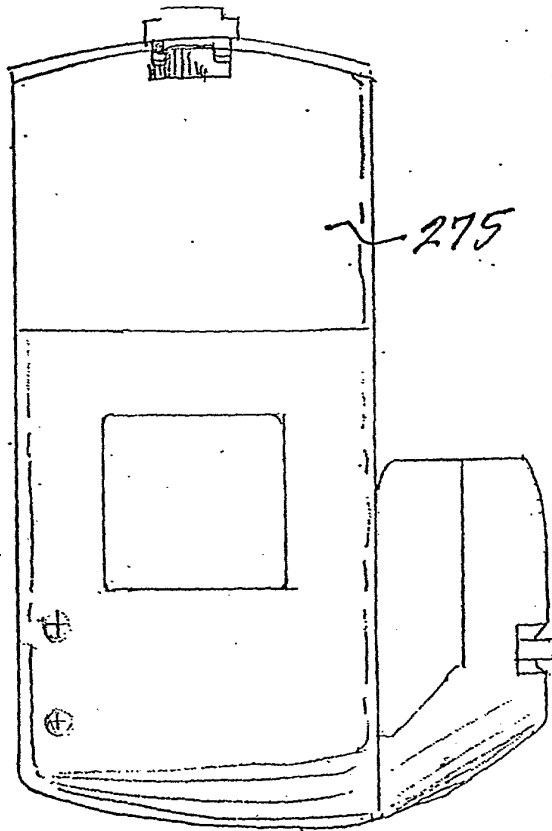


Figure 9I

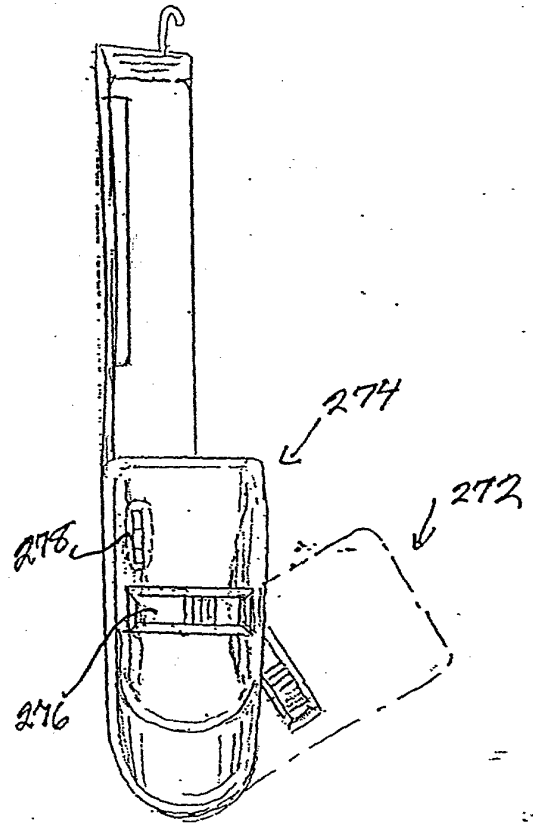
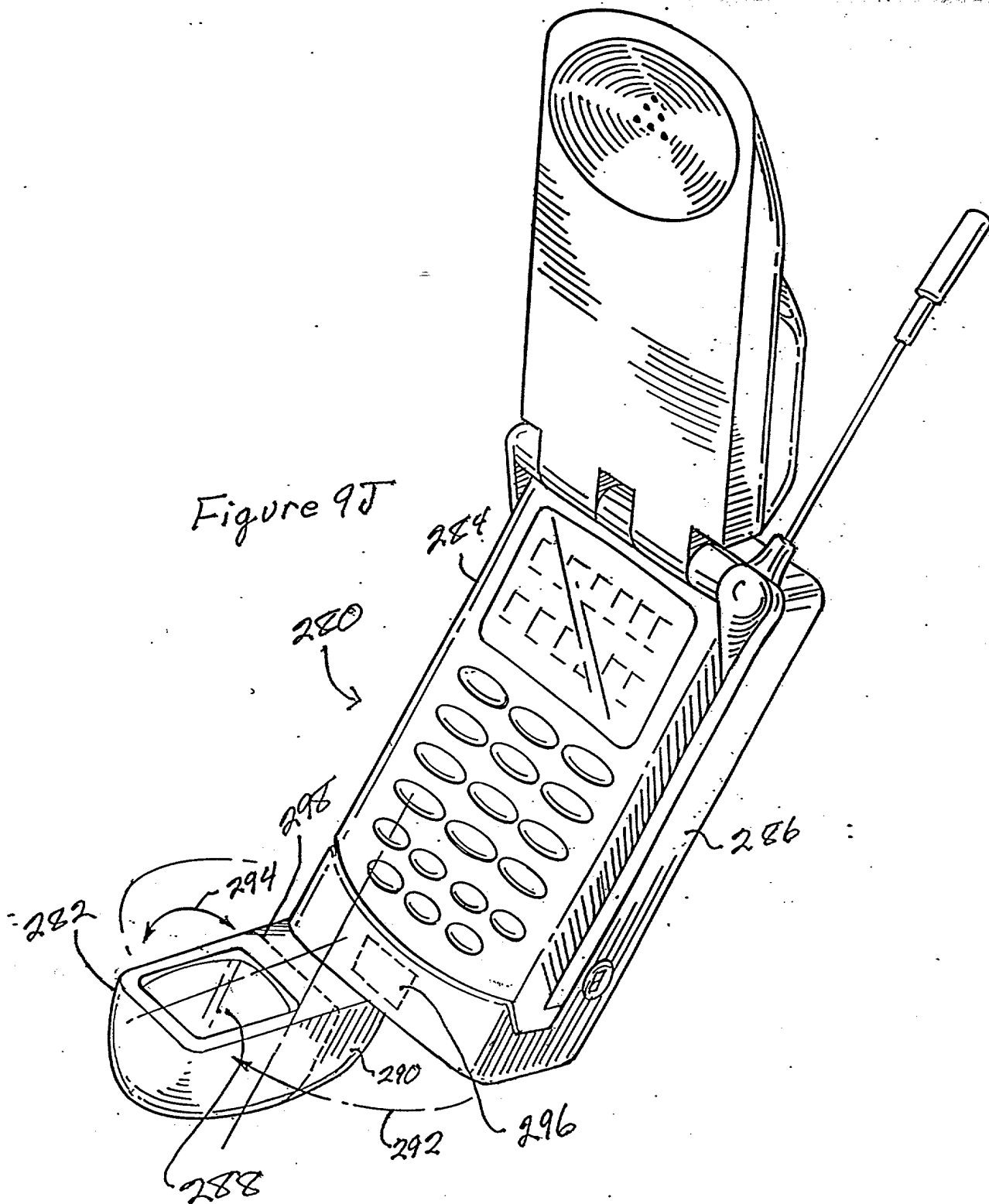


Figure 9J



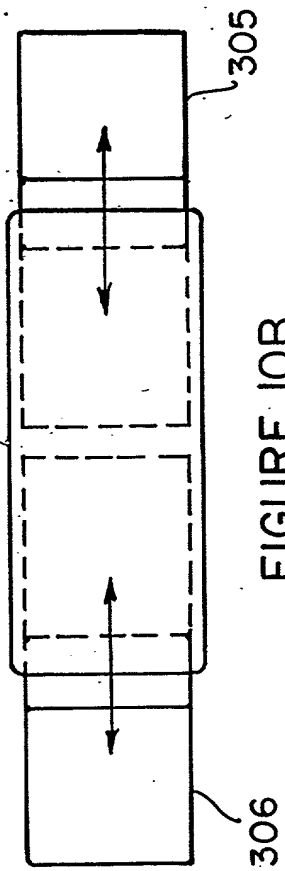


FIGURE 10B

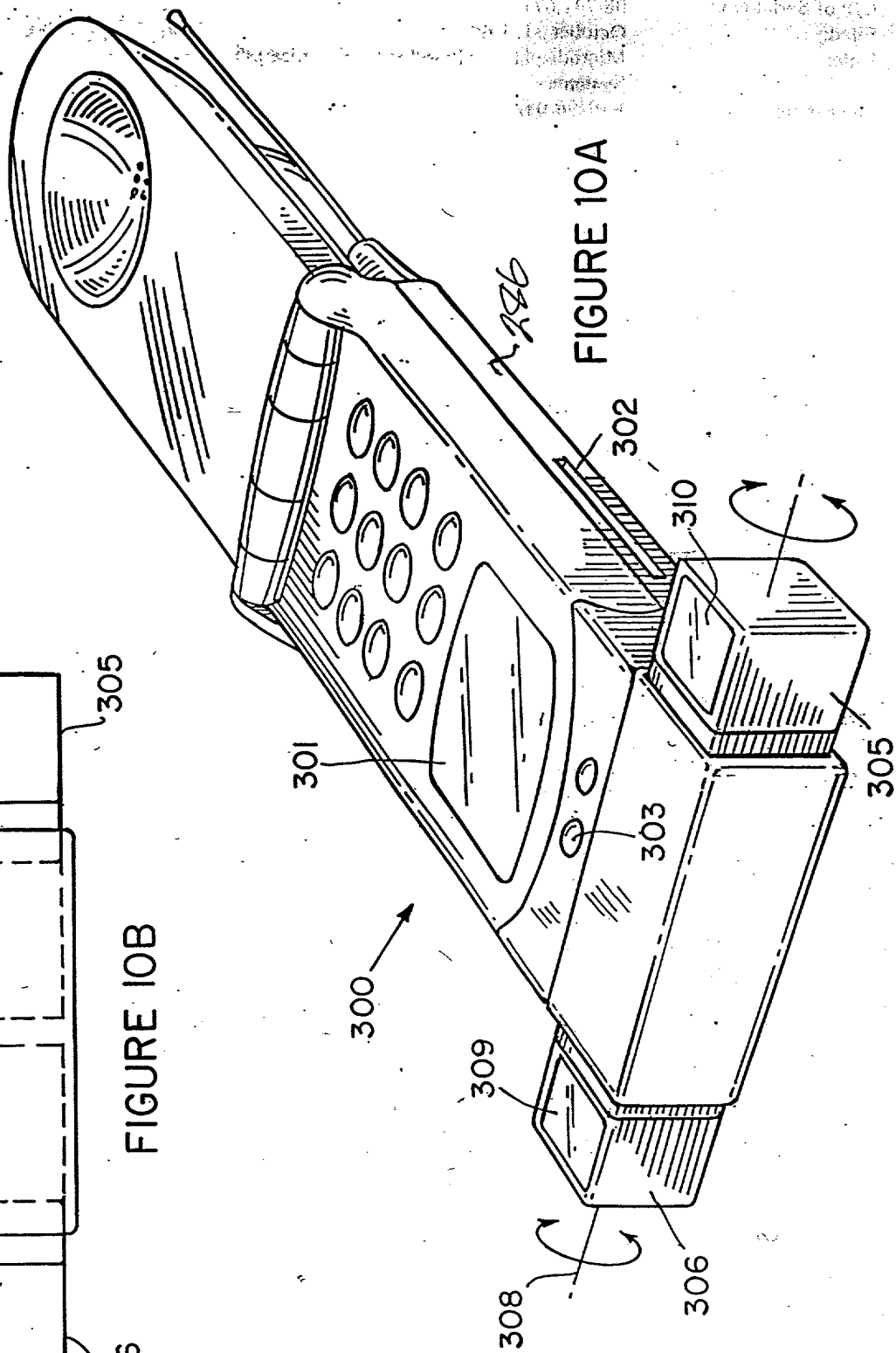


FIGURE 10A

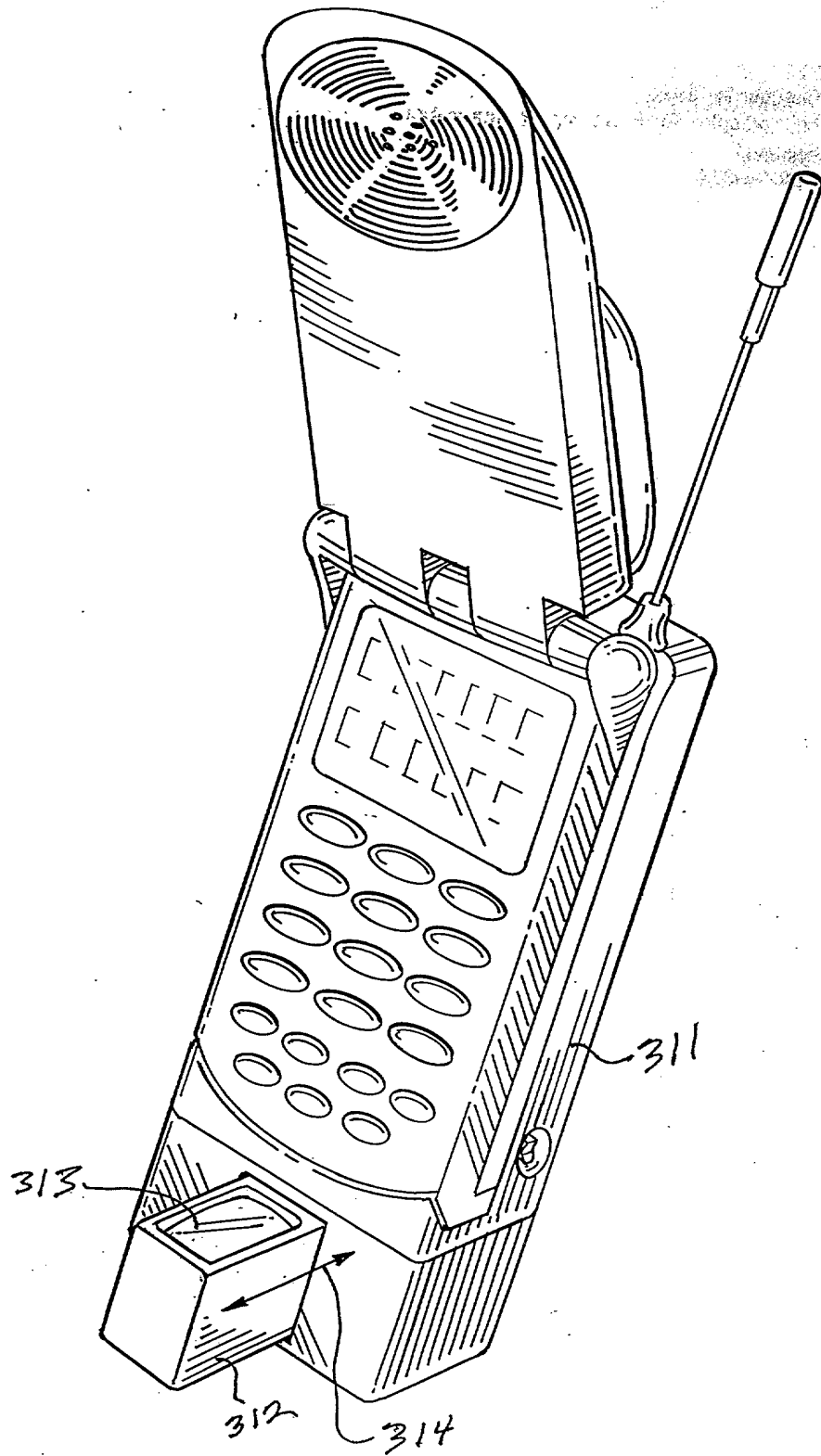


Figure 11

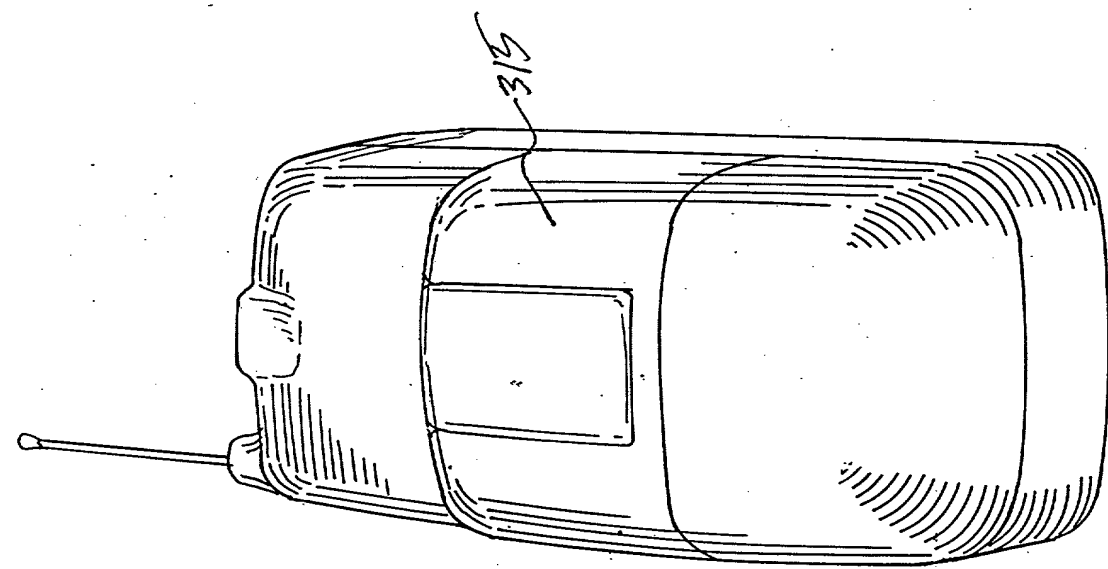


Figure 12A

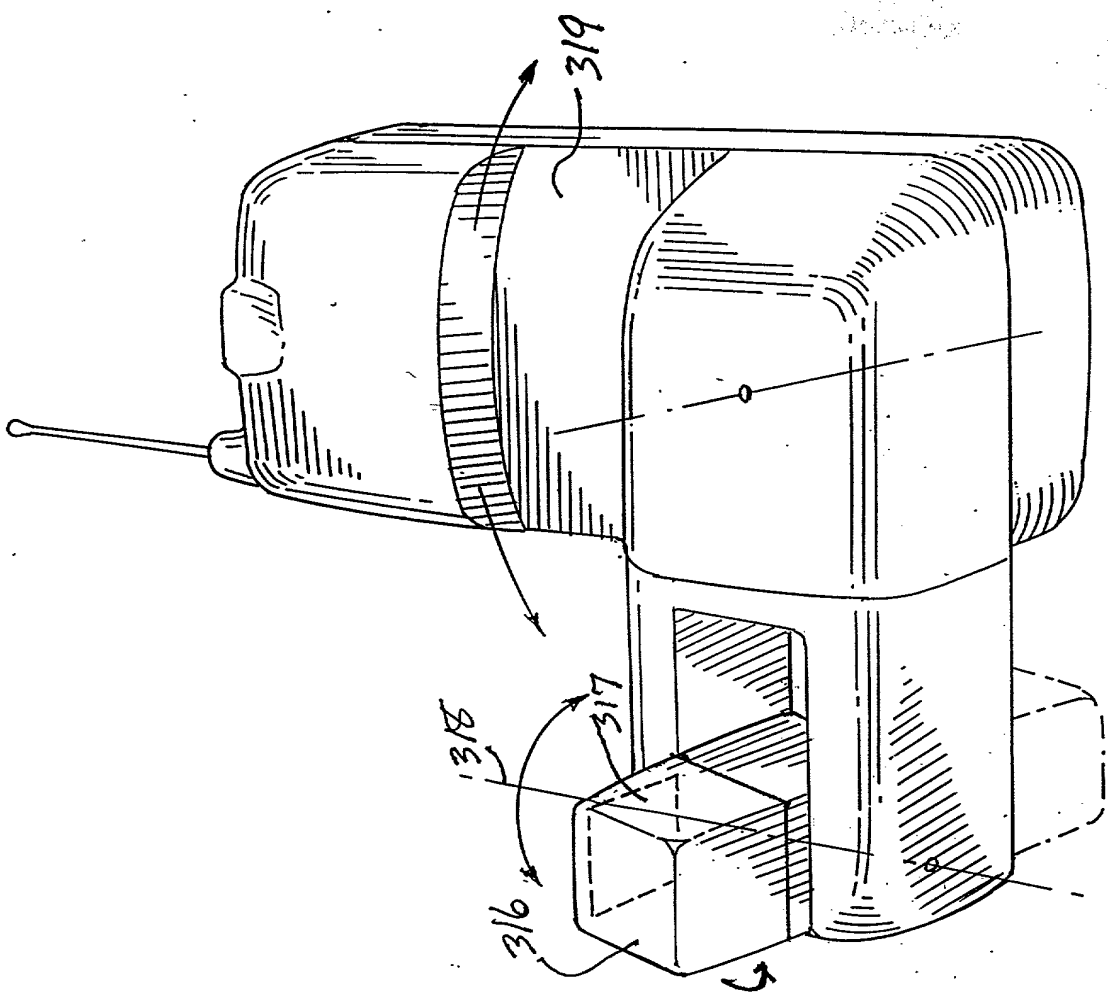


Figure 12B

Figure 13A

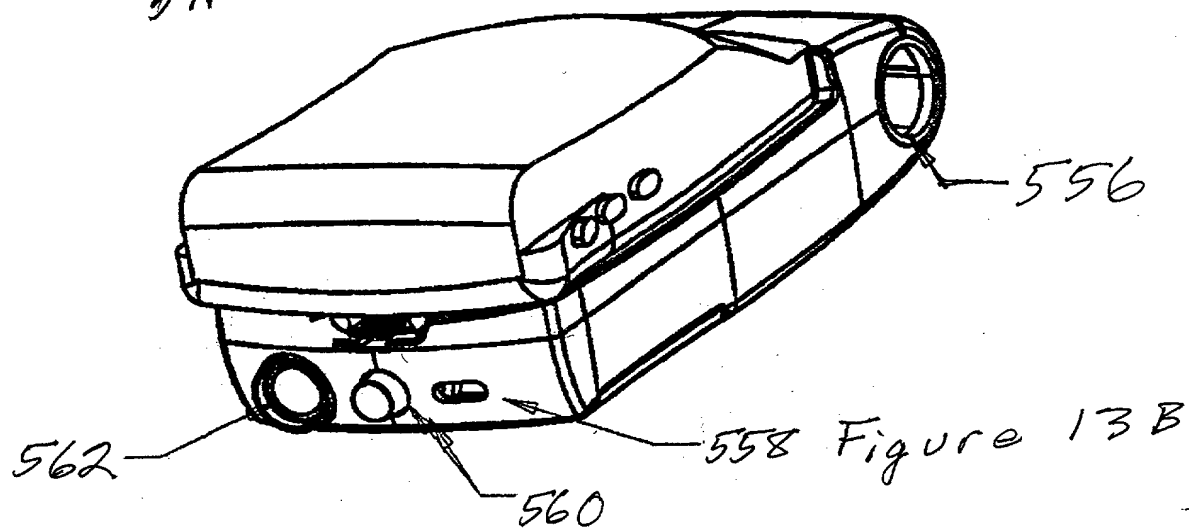
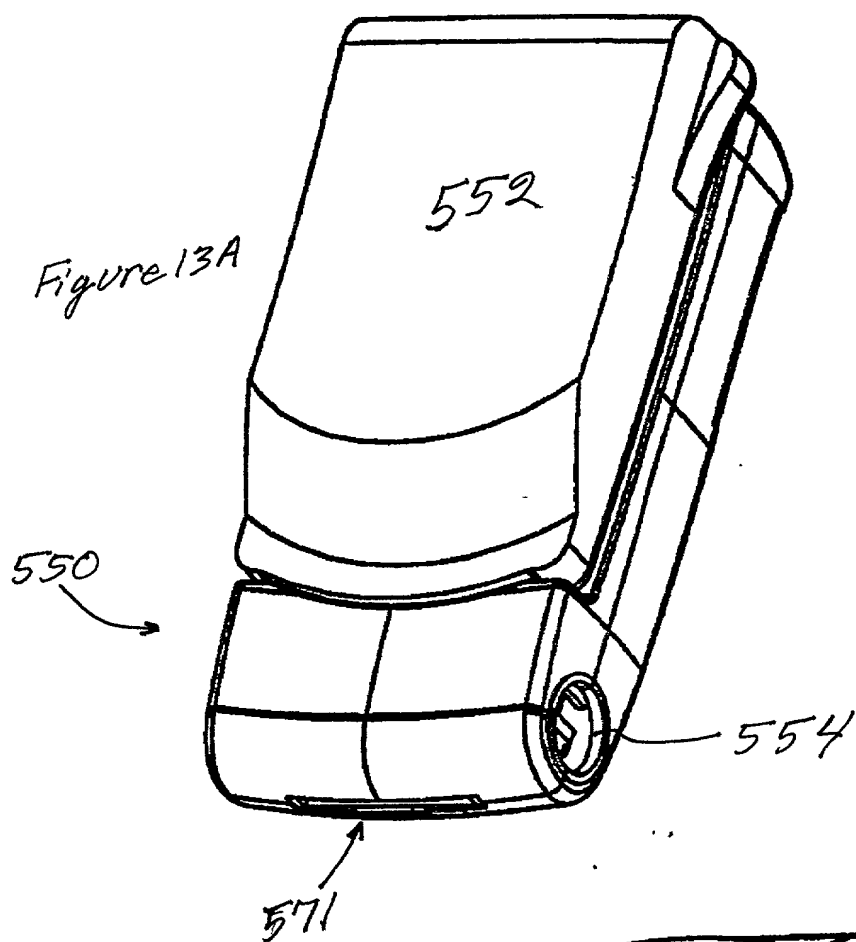


Figure 13C

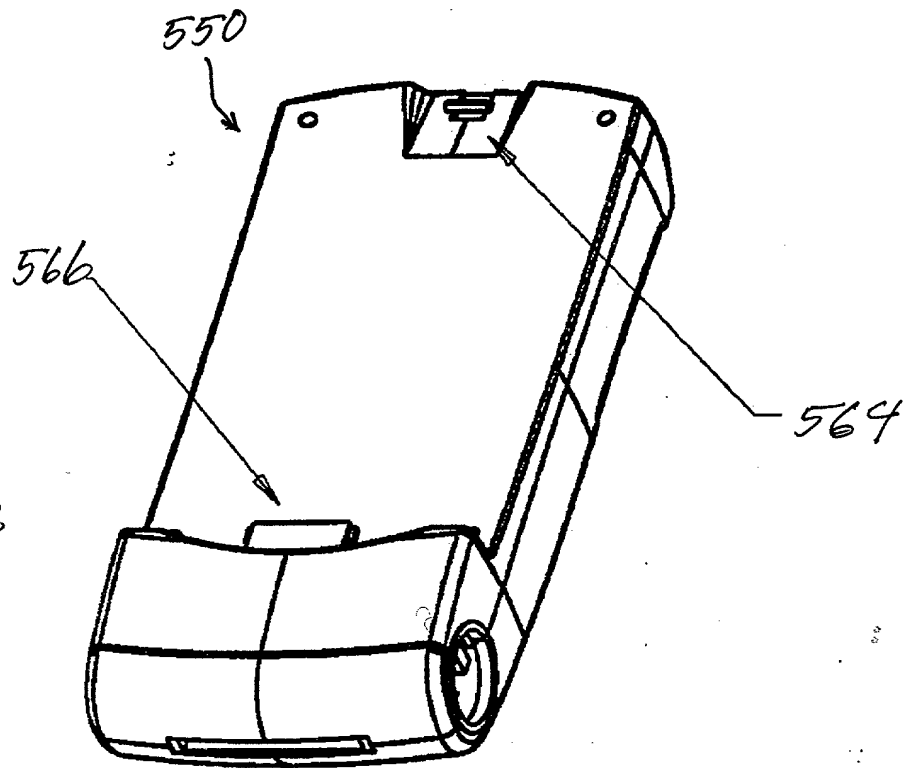
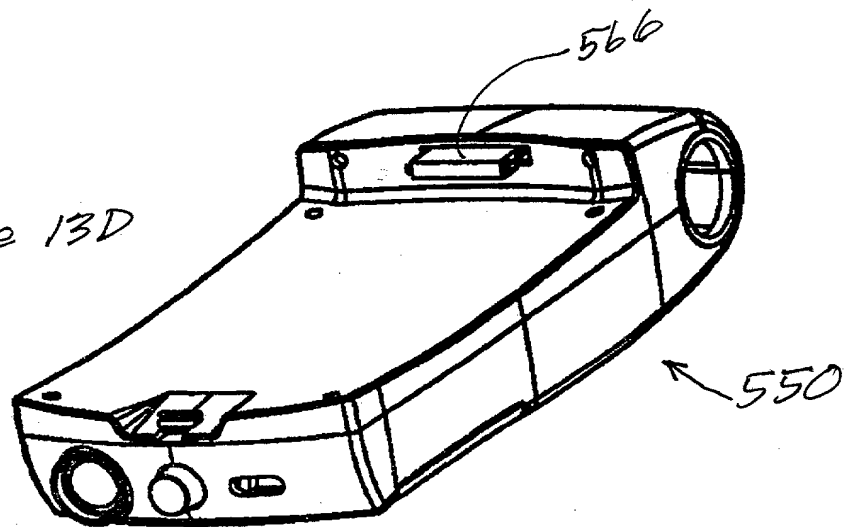


Figure 13D



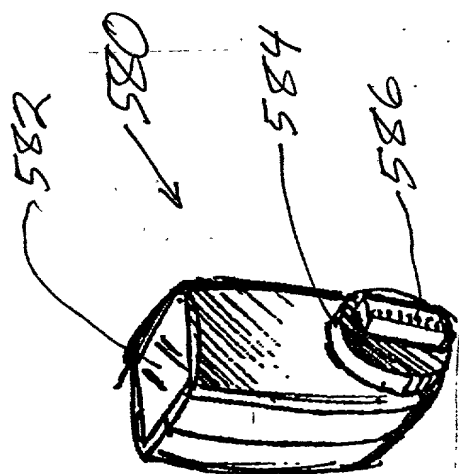


Figure 13F

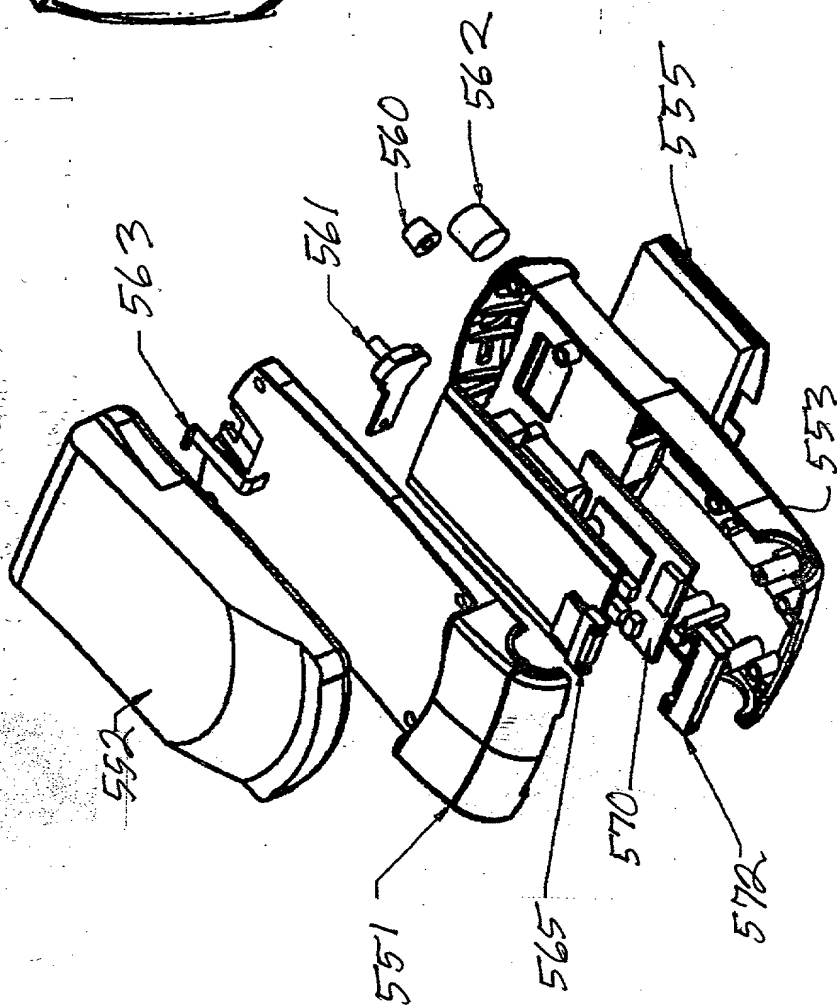


Figure 13E

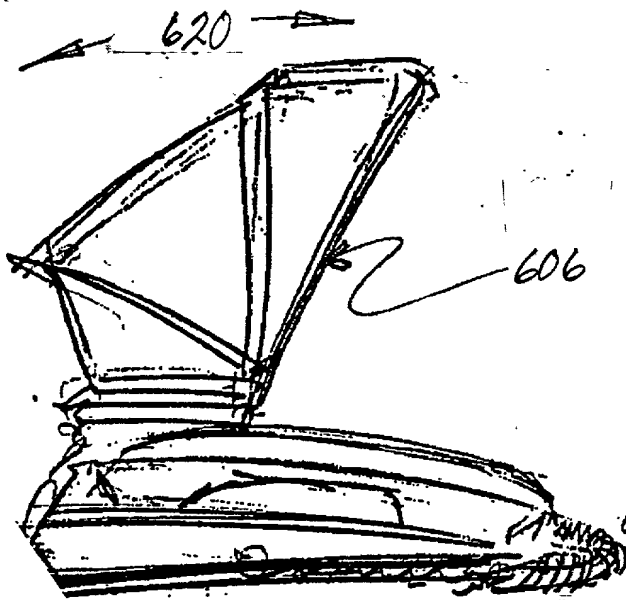


Figure 14C

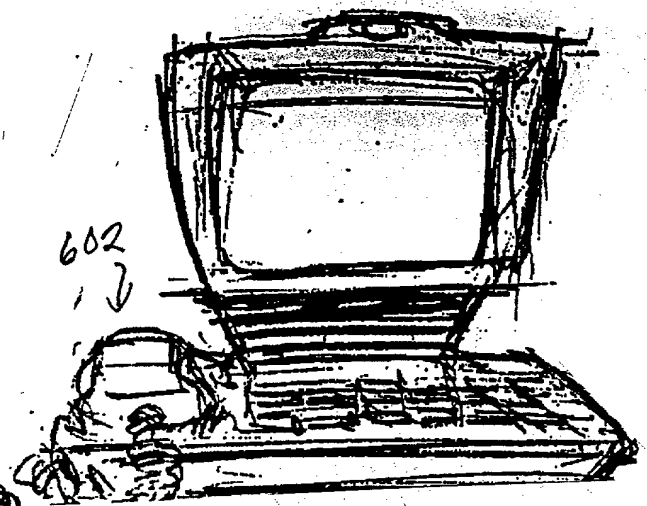


Figure 14B

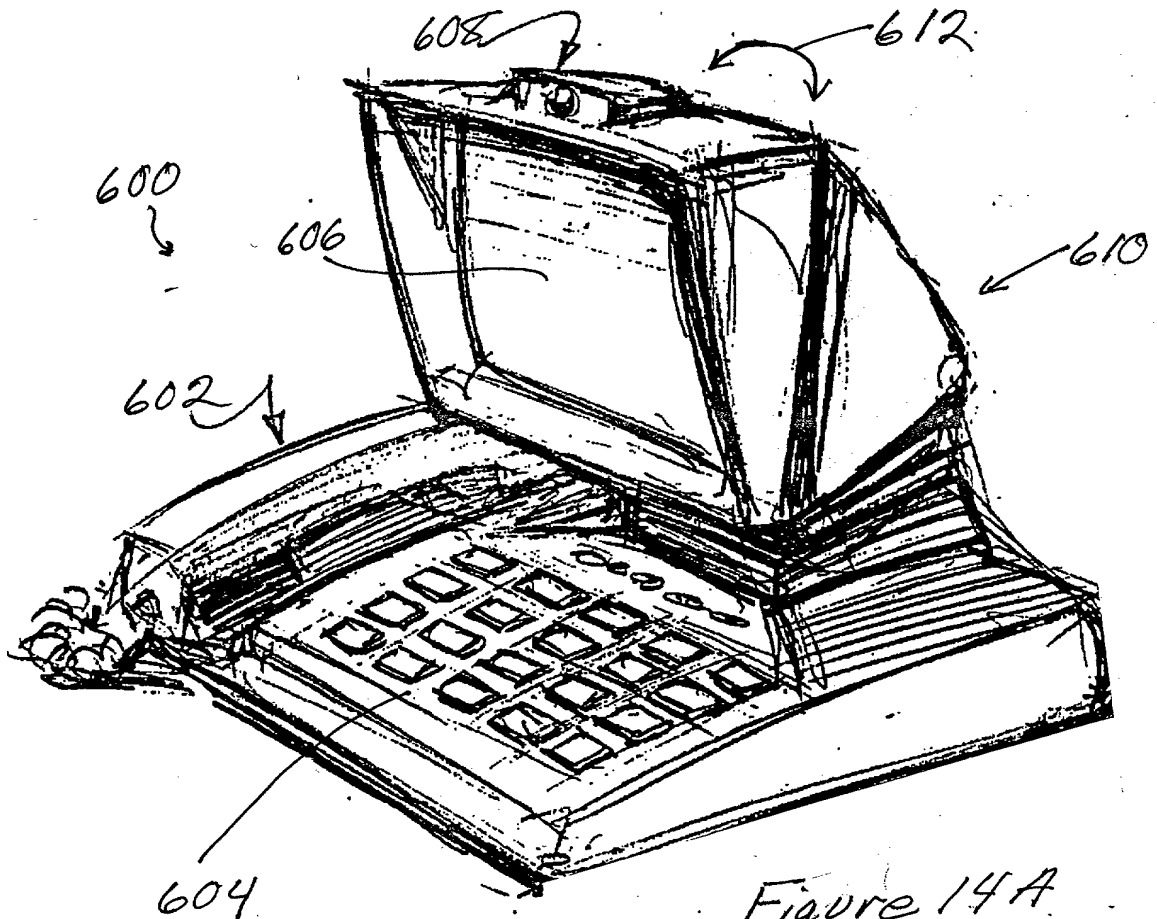


Figure 14A

Figure 15A

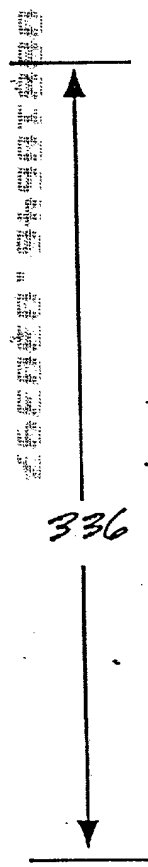
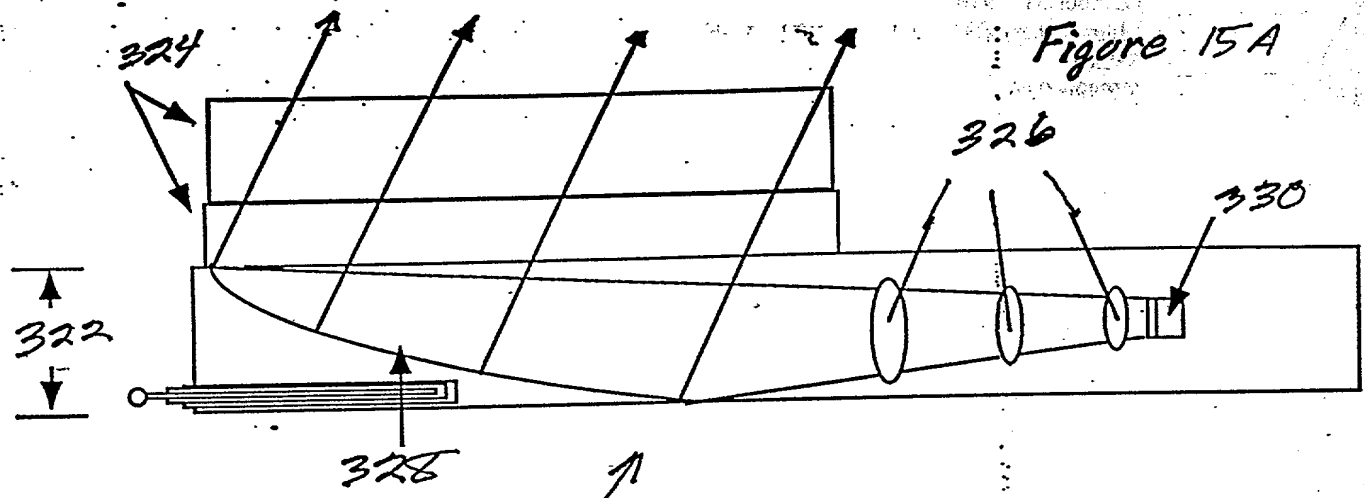


Figure 15B

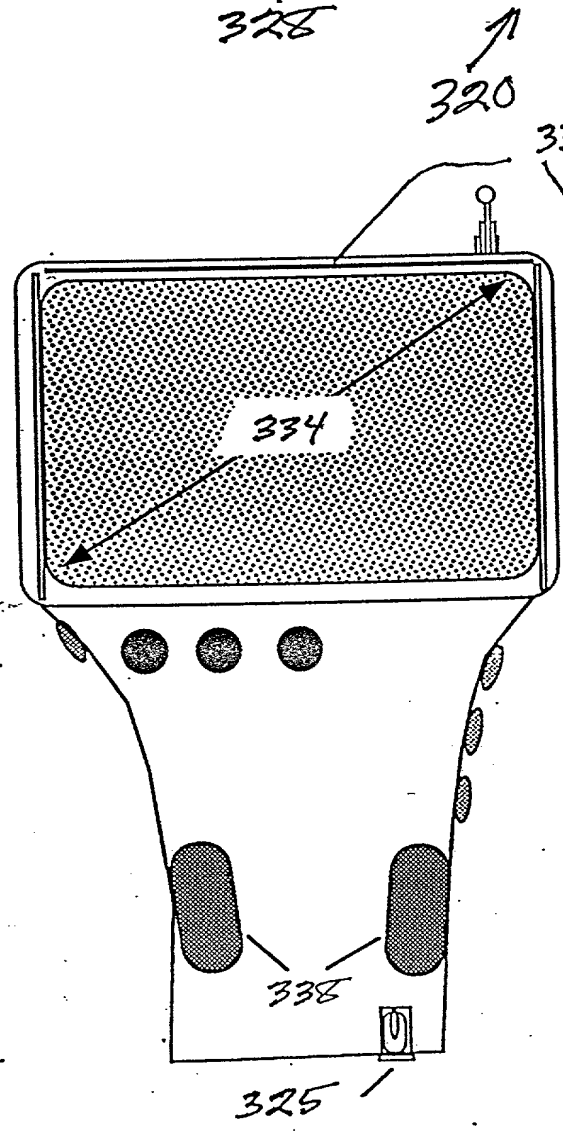
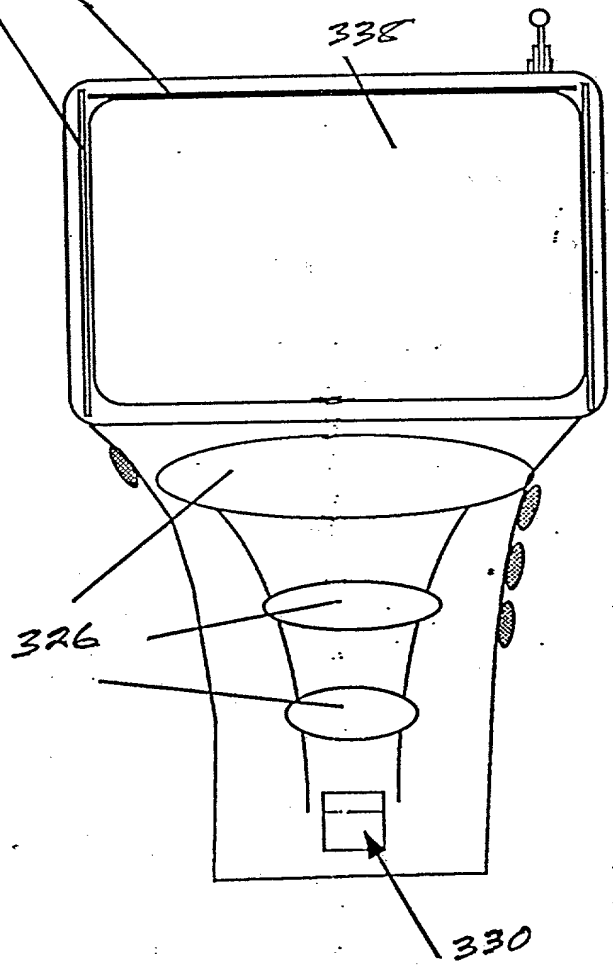
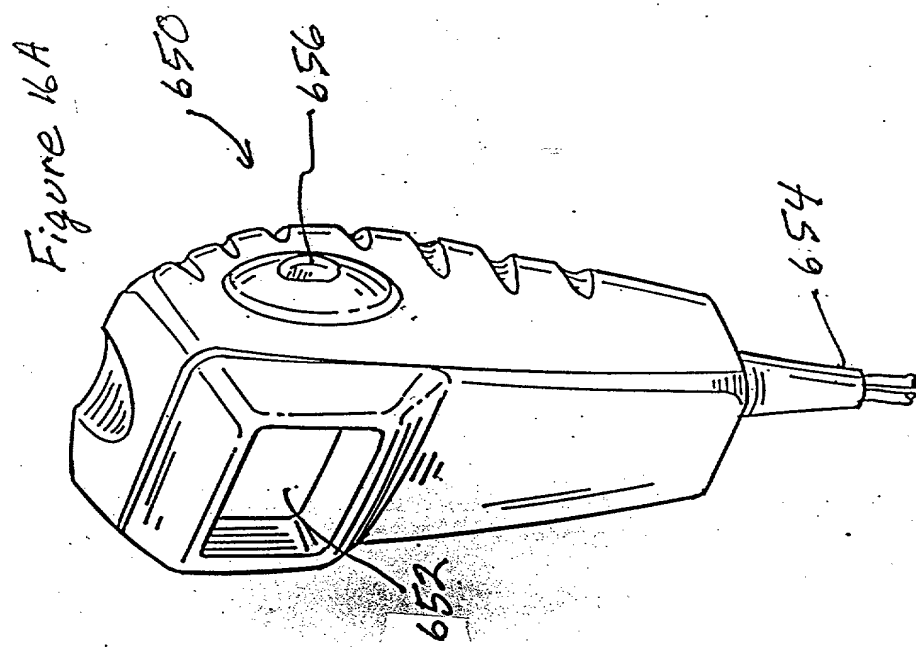
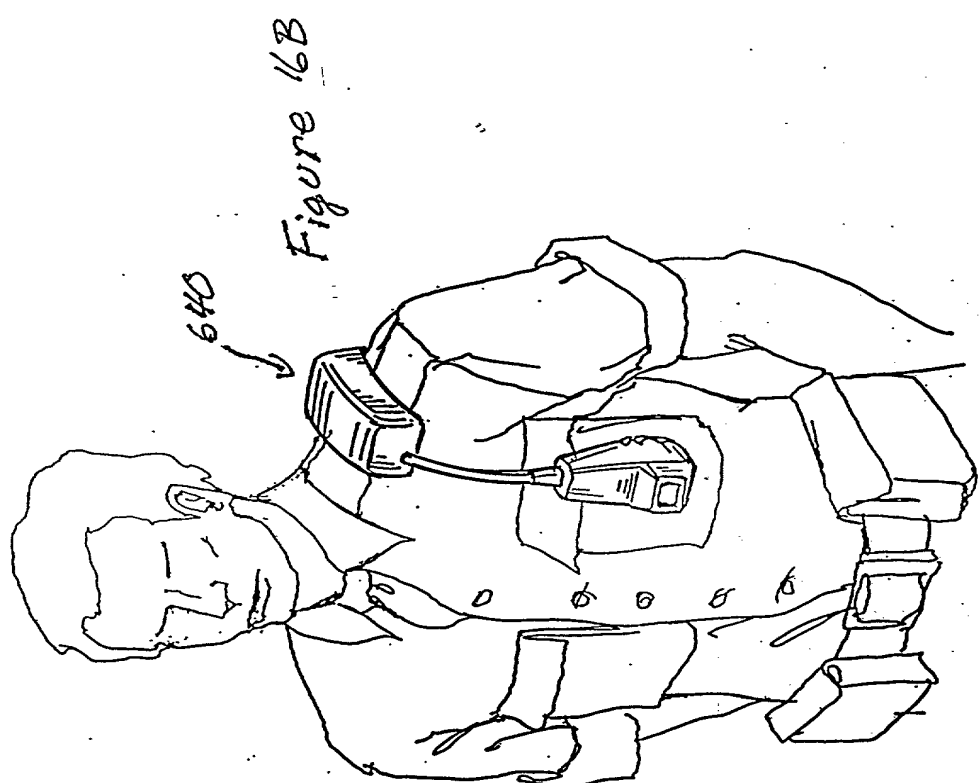


Figure 15C





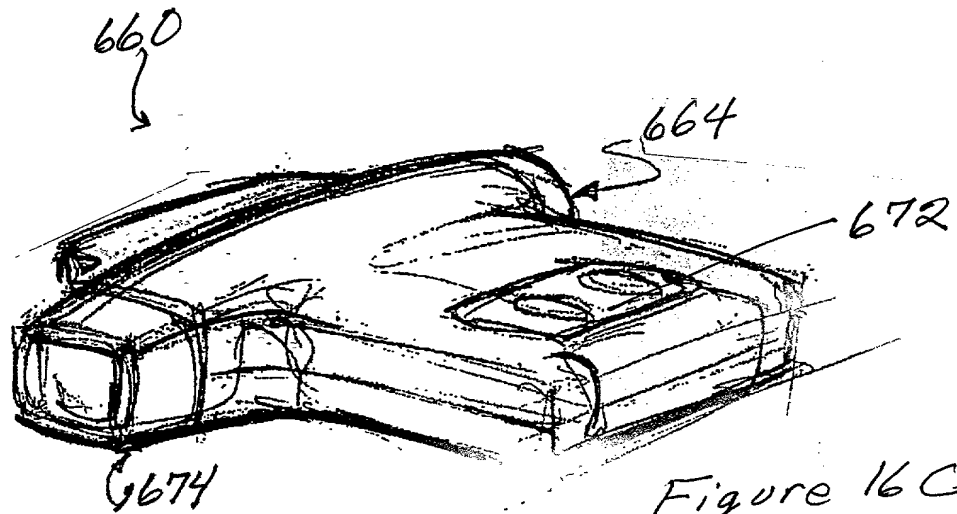


Figure 16C

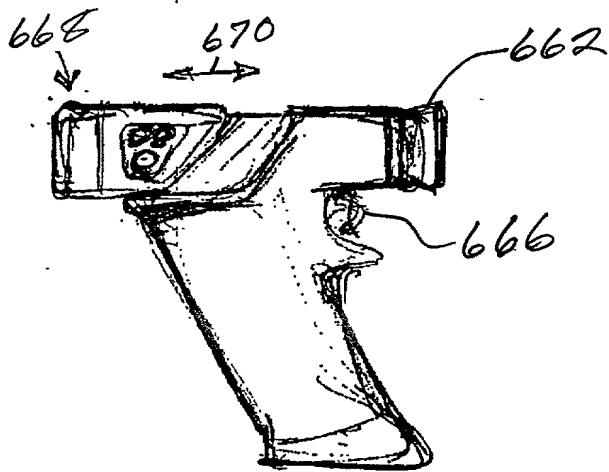


Figure 16D

Figure 17A

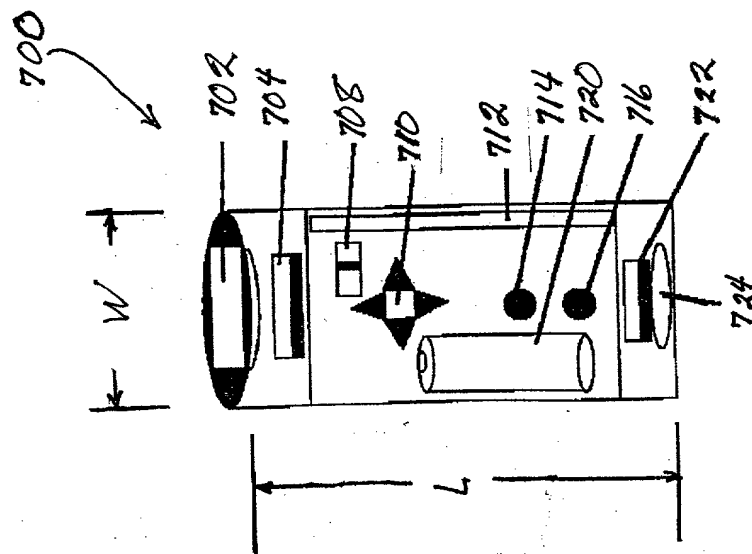


Figure 17B

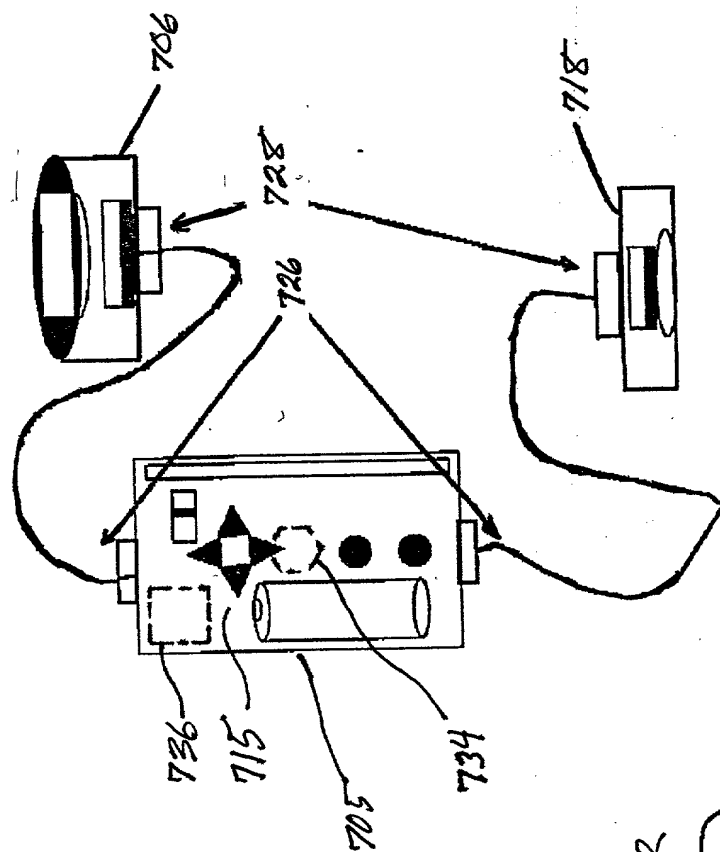
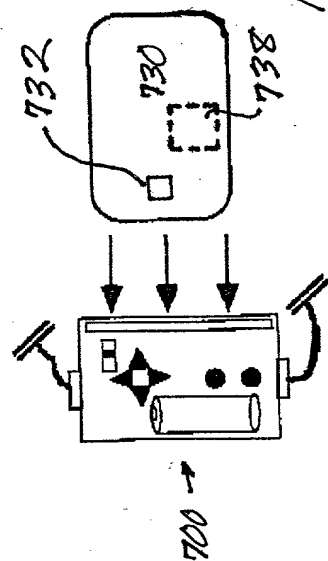


Figure 17C



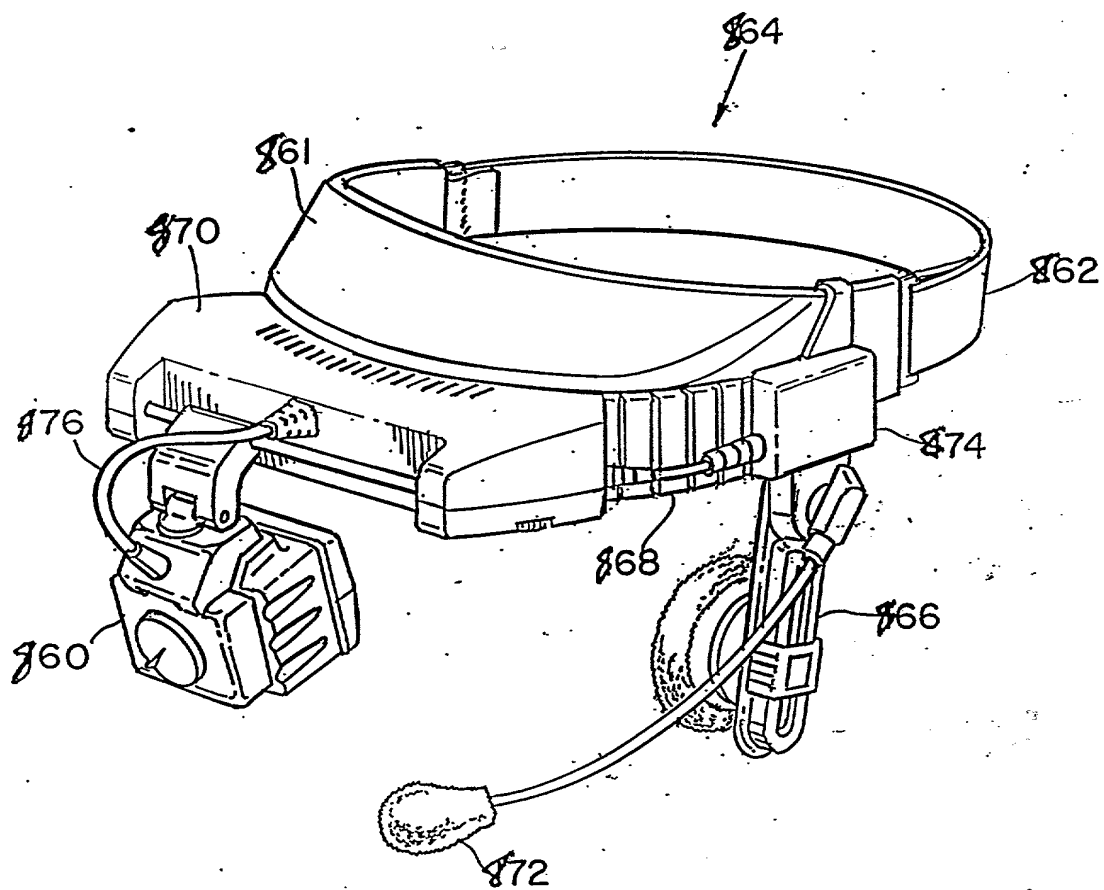


FIG. 18A

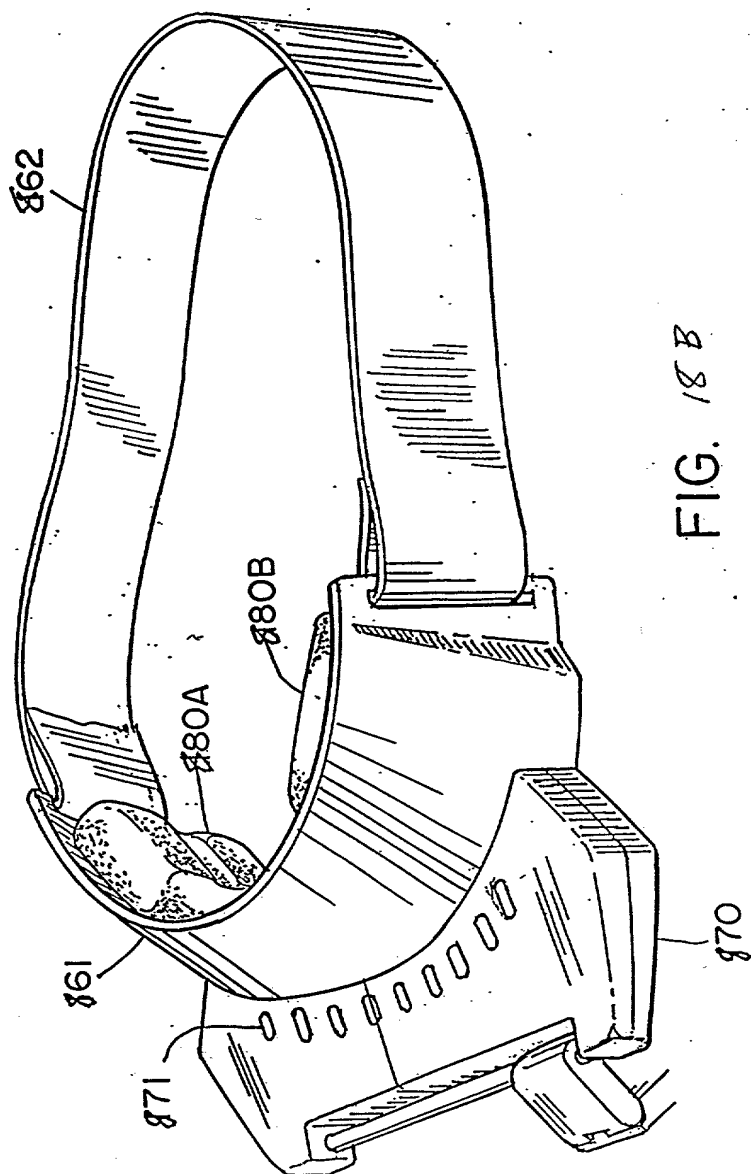
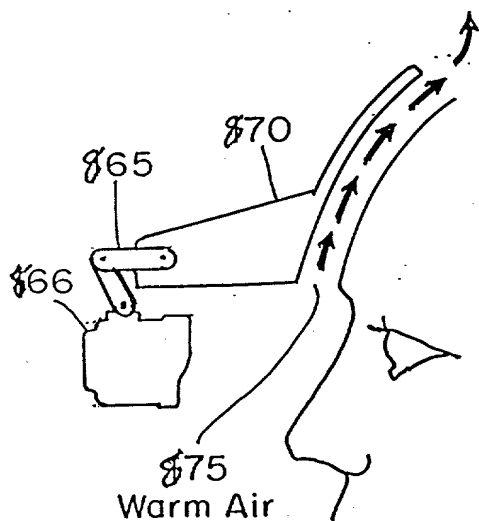
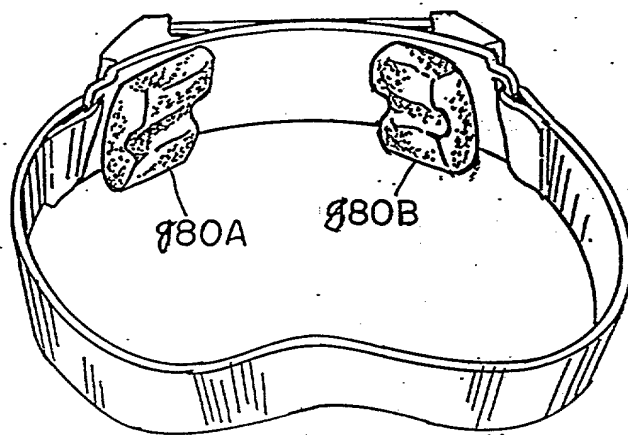
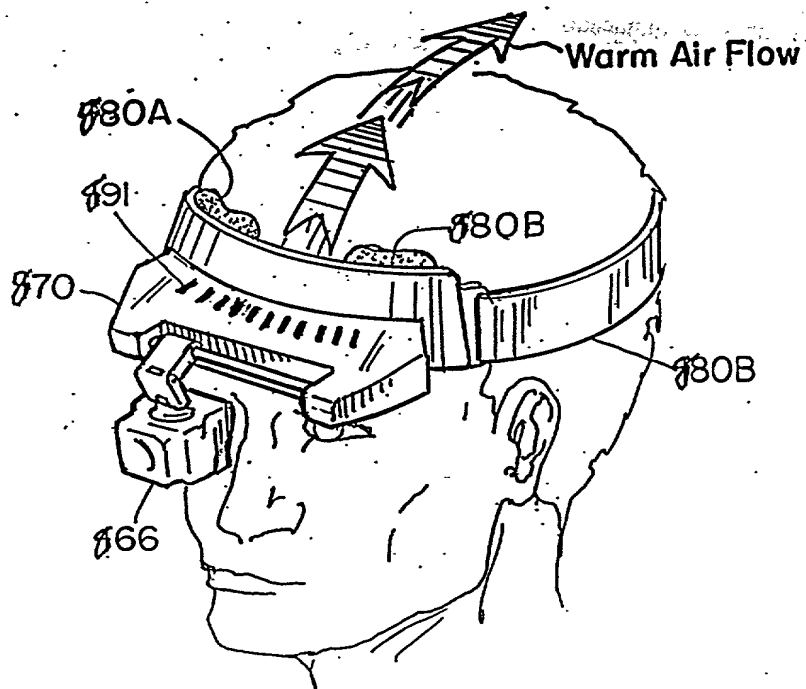


FIG. 18 B



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration for Patent Application

As a named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 3 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

MICRODISPLAY FOR PORTABLE COMMUNICATION SYSTEMS

the specification of which (check one)

☒ is attached hereto.

☐ was filed on _____ as United States

Serial Number _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

			Priority Not Claim
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	[]
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	[]
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	[]

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information known by me to be material to patentability as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>08/766,607</u>	<u>December 13, 1996</u>	<u>Pending</u>
(Application Serial No.)	(Filing date)	(Status, patented, pending, abandoned)

<u>08/741,671</u>	<u>October 31, 1996</u>	<u>Pending</u>
(Application Serial No.)	(Filing date)	(Status, patented, pending, abandoned)

<u> </u>	<u> </u>	<u> </u>
(Application Serial No.)	(Filing date)	(Status, patented, pending, abandoned)

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

I also hereby grant additional Powers of Attorney to the following attorney(s) and/or agent(s) to file and prosecute an international application under the Patent Cooperation Treaty based upon the above-identified application, including a power to meet all designated office requirements for designated states:

David E. Brook	Reg. No. 22,592	Thomas O. Hoover	Reg. No. 32,470
James M. Smith	Reg. No. 28,043	Alice O. Carroll	Reg. No. 33,542
Leo R. Reynolds	Reg. No. 20,884	N. Scott Pierce	Reg. No. 34,900
Patricia Granahan	Reg. No. 32,227	Richard A. Wise	Reg. No. 18,041
Mary Lou Wakimura	Reg. No. 31,804		

all of Hamilton, Brook, Smith and Reynolds, P.C., Two Militia Drive, Lexington, Massachusetts 02173;

and _____

Send correspondence to: Thomas O. Hoover, Esq.
Hamilton, Smith, Brook & Reynolds
Two Militia Drive
Lexington, MA 02173

Direct telephone calls to: Thomas O. Hoover
(617) 861-6240

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of Sole

or first inventor, if any Jeffrey Jacobsen

Inventor's

Signature _____ Date _____

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Hollister, CA 95023

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or first inventor John C.C. Fan

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Signature _____ Date _____

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inventor, if any Matthew Zavracky

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Post Office Address Same

Full name of fifth joint

inventor, if any Rodney Bumgardner

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Signature _____ Date _____

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Citizenship USA

Post Office Address Same

Full name of sixth joint

inventor, if any Alan Richard

Inventor's

Signature _____ Date _____

Residence 20 Melanie Lane

Wrentham, MA 02093

Citizenship USA

Post Office Address Same

KPN9603A.dec TOH

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

DOCKET NUMBER: KPN96-03

Applicant or Patentee: Jeffrey Jacobson, John C.C. Fan, Stephen Pombo, Matthew Zavracky and Rodney Bumeardier

Serial or Patent No.: 08/741,671

Filed or Issued: October 31, 1996

Title: Microdisplay for Portable Communication Systems

I hereby declare that I am

- ☐ the owner of the small business concern identified below;
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Kopin Corporation

ADDRESS OF SMALL BUSINESS CONCERN 695 Myles Standish Boulevard
Myles Standish Industrial Park
Taunton, MA 02780

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12 and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of this concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring its status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.
☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern, or organization having rights to the invention averring its status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

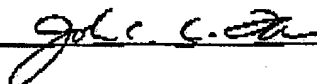
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING John C. C. Fan

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 695 Myles Standish Boulevard, Myles Standish Industrial Park, Taunton, MA 02780

SIGNATURE



DATE

12-31-96

COPY

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)-SMALL BUSINESS CONCERN)

DOCKET NUMBER: KPN96-03

Applicant or Patentee: Jeffrey Jacobson, John C.C. Fan, Stephen Pombro, Matthew Zavracky and Rodney BurnardnerSerial or Patent No.: 08/741,671Filed or Issued: October 31, 1996Title: Microdisplay for Portable Communication Systems

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Kopin CorporationADDRESS OF SMALL BUSINESS CONCERN 695 Myles Standish Boulevard
Myles Standish Industrial Park
Taunton, MA 02780

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12 and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of this concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.
☐ each such person, concern or organization is listed below.

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NAME OF PERSON SIGNING John C. C. FanTITLE OF PERSON IF OTHER THAN OWNER PresidentADDRESS OF PERSON SIGNING 695 Myles Standish Boulevard, Myles Standish Industrial Park, Taunton, MA 02780SIGNATURE John C. C. Fan

DATE

12-31-96**COPY**

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(d) & 1.27(e)-SMALL BUSINESS CONCERN)

DOCKET NUMBER: KPN96-03

Applicant or Patentee: Jeffrey Jacobson, John C.C. Fan, Stephen Pombo, Matthew Zavracky and Rodney BumgardnerSerial or Patent No.: 08/741,671Filed or Issued: October 31, 1996Title: Microdisplay for Portable Communication Systems

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Myles Standish Industrial Park
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NAME OF PERSON SIGNING John C. C. FanTITLE OF PERSON IF OTHER THAN OWNER PresidentADDRESS OF PERSON SIGNING 695 Myles Standish Boulevard, Myles Standish Industrial Park, Taunton, MA 02780SIGNATURE John C. C. Fan

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12-31-96**COPY**